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Superconformal Sigma Models in Three Dimensions

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Abstract

We construct superconformal gauged sigma models with extended rigid supersymmetry in three dimensions. Those with $\mathcal{N} > 4$ have necessarily flat targets, but the models with $\mathcal{N} \leq 4$ admit non-flat targets, which are cones with appropriate Sasakian base manifolds. Superconformal symmetry also requires that the three dimensional spacetimes admit conformal Killing spinors which we examine in detail. We present explicit results for the gauged superconformal theories for $\mathcal{N} = 1, 2$. In particular, we gauge a suitable subgroup of the isometry group of the cone in a superconformal way. We finally show how these sigma models can be obtained from Poincaré supergravity. This connection is shown to necessarily involve a subset of the auxiliary fields of supergravity for $\mathcal{N} \geq 2$.

Contents

1	Introduction	3
2	Conformal sigma models	4
3	Superconformal sigma models in $D = 3$	5
3.1	\mathcal{N} -Kähler cones	5
3.2	Conformal Killing Spinors	7
3.3	The $\mathcal{N} = 1$ superconformal sigma model	8
3.4	The $\mathcal{N} = 2$ superconformal sigma model	9
4	Building blocks for superconformal gaugings	10
4.1	The isometry group	11
4.2	Gaugeable isometries	12
4.3	Momentum maps	14
4.4	The embedding tensor Θ_{mn} and the T -tensor	15
4.5	A quantum non-renormalization theorem	17
5	Superconformal gaugings and Chern-Simons interactions	17
5.1	$\mathcal{N} = 1$ theories	17
5.2	Criteria for enhanced \mathcal{N} -superconformal symmetry	18
5.3	$\mathcal{N} = 2$ theories	21
5.4	A puzzle and its solution	22
6	Relation to Poincaré supergravity	23
6.1	Bosonic case	24
6.2	$\mathcal{N} = 1$ supergravity	25
6.3	$\mathcal{N} = 2$ supergravity	27
7	Conclusions	30
A	Complex spinor conventions	31
B	The $\text{spin}(\mathcal{N})$ matrices $(\Sigma^{MN})_{AB}$	31
C	Geometry of conformal Killing spinors	32
C.1	Local solutions	32
C.2	Conformal normal coordinates	34
C.3	Global solutions	34

1 Introduction

Superconformal field theories (SCFT) have long been of considerable interest in many different contexts. In addition to the key role played by SCFT in $D = 2$ in superstring theory, the advent of the remarkable AdS/CFT duality has brought to the forefront the SCFT's in $D = 3, 4, 6$. This paper is devoted to a study of superconformal sigma models in $3D$, in which a renewed interest has emerged over the last few years following the realization that the coincident $M2$ -brane theory may be describable by a SCFT in $3D$ involving scalars in a suitable representation of certain Yang-Mills groups, and Chern-Simons interactions [1, 2, 3, 4, 5, 6]. In most studies on this subject so far, the scalar fields are taken to parametrize a Euclidean vector space, and there are only few results in which the scalars are described by a sigma model with non-flat target manifold. Furthermore, typically one works in Minkowskian spacetime. Our aim is to fill this gap by constructing explicitly all possible gauged sigma models in $3D$ with conformal supersymmetry and non-flat target manifolds. We also work in general $3D$ spacetimes which admit conformal Killing spinors, as required by conformal supersymmetry.

It is known that conformal symmetry in a sigma model in D dimensions requires that the D -dimensional spacetime admits conformal Killing vectors and that the target space admits a homothetic conformal Killing vector. The latter requirement amounts to the statement that the target space is a cone. Superconformal extensions of the model, however, depend on the dimension of spacetime and on the amount of supersymmetry. In [7], (super-)conformal sigma models and the gauging of target space isometries were studied in arbitrary dimensions. Various geometrical aspects will carry over to $3D$ but there are significant differences. For example, the Chern-Simons terms needed for gauging of the target space isometries is special to $3D$.

We will discuss two ways of constructing rigid superconformal field theories in $3D$. One approach is to perform a direct construction either in component formalism or, if available, in superspace. An alternative approach is to start from gauged Poincaré supergravities in $3D$ which have been already constructed [8, 9, 10, 11], and to take a rigid limit such that exactly the desired fields and (global) superconformal symmetries survive. The latter approach basically is an inverse of the conformal tensor calculus [12, 13, 14] (for a review, see [15]), combined with taking the limit of rigid (superconformal) supersymmetry. In the usual conformal program one starts from matter coupled conformal supergravity and, after gauge fixing, ends up with matter coupled Poincaré supergravity. Here, instead, we start from the already constructed $3D$ matter coupled Poincaré supergravity and, by making field redefinitions, go back to the conformal basis, while at the same time we take the limit of rigid superconformal supersymmetry. We will show in detail how this works. It turns out that for $\mathcal{N} \geq 2$, some of the auxiliary fields of Poincaré supergravity cannot be ignored in the superconformal sigma model since a subset of these auxiliary fields ends up being part of the target space geometry in the rigid superconformal limit.

We shall also discuss the gaugings of the isometry groups of the sigma model,

for $\mathcal{N} \leq 4$, making use of 3D Chern-Simons terms, and requiring superconformal symmetry. In particular, we will derive the restrictions on these gaugings for the different numbers of conformal supersymmetry. As a byproduct of the constructions presented in this paper we shall present a non-renormalization theorem for the Kähler potential for $\mathcal{N} \geq 3$.

The paper is organized as follows. In section 2, we review the structure of bosonic conformal sigma-models in arbitrary dimensions. In section 3, we specify to three dimensions and extend the construction to $\mathcal{N} = 1$ and $\mathcal{N} = 2$ superconformal sigma models. In sections 4 and 5 we discuss the possible superconformal gaugings in three dimensions. We determine the conditions on the gauge group and we give explicit actions which involve the Chern-Simons interactions of the gauge fields. Finally, in section 6 we present an alternative approach to the construction of these theories as particular rigid limits of (gauged) Poincaré supergravities. Appendices A and B collect notation, appendix C contains a detailed discussion on conformal Killing spinors in three dimensions.

2 Conformal sigma models

In this section we briefly review the structure of conformal sigma models in arbitrary space-time dimensions higher than two. We assume in $p + 1$ space-time dimensions a background metric $h_{\mu\nu}$ ($\mu = 0, 1, \dots, p$) with signature $(- + + \dots +)$ which admits a conformal Killing vector ξ^μ , i.e.

$$\nabla_\mu(h) \xi_\nu + \nabla_\nu(h) \xi_\mu = 4\Omega h_{\mu\nu}, \quad (2.1)$$

where $\xi_\mu \equiv h_{\mu\nu} \xi^\nu$ and the conformal factor can be expressed as $\Omega = \frac{1}{2(p+1)} h^{\mu\nu} \nabla_\mu(h) \xi_\nu$. It has been shown in [7] that in this case the scalar target space \mathcal{M} (with metric $G_{\alpha\beta}$) admits a homothetic conformal Killing vector V^α which is the gradient of a homogeneous function V , i.e.

$$\nabla_\alpha V_\beta + \nabla_\beta V_\alpha = 2G_{\alpha\beta}, \quad V_\alpha = \partial_\alpha V, \quad V^\alpha \partial_\alpha V = 2V. \quad (2.2)$$

With a particular choice of target space coordinates $\Phi^\alpha = (\phi^0, \phi^i)$, the general solution of these equations is given by [7]

$$\begin{aligned} V &= e^{2(p-1)\phi^0} F(\phi^i), & G_{00} &= 2(p-1)^2 e^{2(p-1)\phi^0} F(\phi^i), \\ G_{0i} &= (p-1) e^{2(p-1)\phi^0} \partial_i F(\phi^i), & G_{ij} &= e^{2(p-1)\phi^0} \tilde{g}_{ij}(\phi^k), \end{aligned} \quad (2.3)$$

where $F(\phi^k)$ and $\tilde{g}_{ij}(\phi^k)$ are an arbitrary function and an arbitrary metric, respectively, depending on the $(\dim \mathcal{M} - 1)$ target space coordinates ϕ^i . Notice that the function F is positive definite in order to have a positive metric. The existence of the homothetic conformal Killing vector allows us to define a new coordinate $r = \sqrt{2V}$, in terms of which the target space metric takes the form of a cone [19]

$$G_{\alpha\beta}(\Phi^\gamma) d\Phi^\alpha d\Phi^\beta = dr^2 + r^2 g_{ij}(\phi^k) d\phi^i d\phi^j, \quad (2.4)$$

where g_{ij} is related to (2.3) by

$$g_{ij} = \frac{\tilde{g}_{ij}}{2F} + \frac{\partial_i F \partial_j F}{4F^2}. \quad (2.5)$$

Conversely, any cone metric (2.4) provides a solution to the constraints (2.2) defining a homothetic conformal Killing vector upon choosing the function $V = \frac{1}{2}r^2$.

The general action of a conformal sigma model is then given by

$$\mathcal{L}_0 = -\frac{1}{2}\sqrt{-h} \left(\frac{p-1}{2p} V(\Phi^\alpha) R^{(h)} + h^{\mu\nu} \partial_\mu \Phi^\alpha \partial_\nu \Phi^\beta G_{\alpha\beta}(\Phi^\gamma) + \mathcal{U}(\Phi^\alpha) \right), \quad (2.6)$$

where the function $V(\Phi^\alpha)$ from (2.2) shows up as a compensating dilaton factor multiplying the Ricci scalar $R^{(h)}$ of the background metric $h_{\mu\nu}$, and $\mathcal{U}(\Phi^\alpha)$ is an arbitrary scalar potential, subject to the homogeneity condition

$$V^\alpha \partial_\alpha \mathcal{U} = \frac{2(p+1)}{p-1} \mathcal{U}. \quad (2.7)$$

The action (2.6) is invariant under the conformal transformations

$$\delta_c \Phi^\alpha = \xi^\mu \partial_\mu \Phi^\alpha + (p-1)\Omega V^\alpha, \quad (2.8)$$

with the conformal Killing vector ξ^μ defined in (2.1).

In cone coordinates $\Phi^\alpha = \{r, \phi^i\}$, the target space metric takes the form (2.4) and the functions V and \mathcal{U} are given by

$$V(\Phi^\alpha) = \frac{1}{2}r^2, \quad \mathcal{U}(\Phi^\alpha) = r^{2(p+1)/(p-1)} U(\phi^i), \quad (2.9)$$

respectively, where $U(\phi^i)$ is an arbitrary function of the coordinates ϕ^i .

3 Superconformal sigma models in $D = 3$

In this section, we extend the bosonic results of the previous section to the \mathcal{N} -extended supersymmetric case. As the nature of supersymmetry depends on the dimension of spacetime, we now focus on $D = 3$. For an early discussion of the superconformal approach in $D = 3$ dimensions, see [20].

3.1 \mathcal{N} -Kähler cones

We have seen in the preceding section that a sigma-model in arbitrary dimensions (with a suitable potential) is conformally invariant if and only if its target manifold \mathcal{M} is isometric to a *cone*. We stress that \mathcal{M} should be a cone *globally* and not just locally. This stems from the fact that the scalars of any conformal model couple to the world-volume scalar curvature through an interaction of the form $VR^{(h)}$, see (2.6); consistency of this coupling requires the function V ($\equiv \frac{1}{2}r^2$) to be globally defined on \mathcal{M} .

\mathcal{N} -extended rigid superconformal symmetry simply adds the requirement that the cone \mathcal{M} has the well-known holonomy appropriate for the target space of an \mathcal{N} -supersymmetric theory [21, 22]. In $D = 3$ dimensions, \mathcal{M} is an arbitrary Riemannian cone for $\mathcal{N} = 1$, a *Kählerian cone* for $\mathcal{N} = 2$, a *hyperkähler cone* for $\mathcal{N} = 3$ [18, 17], and so on. This is equivalent to requiring the base of the cone, B , to be an ‘ \mathcal{N} -Sasakian’ manifold¹.

For our present purposes, it is convenient to rewrite the holonomy conditions in a uniform way for all \mathcal{N} 's. The holonomy algebra $\mathfrak{hol}(\mathcal{M})$ should be

$$\mathfrak{hol}(\mathcal{M}) \subseteq \mathfrak{h} \subset \mathfrak{spin}(\mathcal{N}) \oplus \mathfrak{h} \subset \mathfrak{so}(\dim \mathcal{M}), \quad (3.1)$$

where \mathfrak{h} is the commutant of $\mathfrak{spin}(\mathcal{N})$ in $\mathfrak{so}(\dim \mathcal{M})$. Explicitly, equation (3.1) means that, for $\mathcal{N} \neq 4$, we may introduce frames V_α^{aA} on the target space \mathcal{M} . Here $\alpha = 1, \dots, \dim \mathcal{M}$ is a ‘curved’ tangent space index on \mathcal{M} , A is an index of an irreducible spinorial representation of $\text{Spin}(\mathcal{N})$, and a is an index of the commutant subgroup H (in the representation induced by the vector representation of $\mathfrak{so}(\dim \mathcal{M})$ under the decomposition (3.1)).

The case $\mathcal{N} = 4$ is special [22]: we have (in general) a pair of such frames, V_α^{aA} and $\tilde{V}_\alpha^{a\dot{A}}$, where A, \dot{A} are indices of the two irreducible spinorial representations of $\mathfrak{spin}(4) \simeq \mathfrak{spin}(2) \oplus \mathfrak{spin}(2)$. Correspondingly, the target manifold factorizes into a hyperkähler cone parametrized by hypermultiplets and one parametrized by *twisted* hypermultiplets. We shall refer to a manifold \mathcal{M} with the holonomy in eqn. (3.1) as an \mathcal{N} -Kähler manifold. It is an easy consequence of Berger’s theorem [24] that for $\mathcal{N} \geq 5$ all \mathcal{N} -Kähler manifolds are locally flat.

Let $(\Sigma^{MN})_{AB}$ be the matrices representing the generators of $\mathfrak{spin}(\mathcal{N})$ in the basic spinorial representation (see appendix B for definitions and conventions), and let η_{ab} be the H -invariant pairing². Consider the two-forms

$$\omega^{MN} = -\omega^{NM} \equiv (\Sigma^{MN})_{AB} \eta_{ab} V_\alpha^{aA} V_\beta^{bB} d\Phi^\alpha \wedge d\Phi^\beta. \quad (3.2)$$

These $\mathcal{N}(\mathcal{N} - 1)/2$ 2-forms should be regarded as generalized Kähler forms: Indeed, for $\mathcal{N} = 2$, there is just one form, ω^{12} , which is the Kähler form, while for $\mathcal{N} = 3$ we get the three linear independent Kähler forms of the hyperkähler cone. The statement that the cone \mathcal{M} has the right holonomy, eqn. (3.1), is reflected in the condition that the ω^{MN} are closed (in fact covariantly constant) forms. Moreover, $(\omega^{MN})^{\dim \mathcal{M}/2} \neq 0$ since the corresponding matrices $(\Sigma^{MN})_{AB}$ are non-degenerate. Hence the forms ω^{MN} are *symplectic structures*.

¹The reader interested in the beautiful geometry of these manifolds is referred to the wonderful book [23].

² η_{ab} is antisymmetric if the irreducible spinorial representation of $\text{Spin}(\mathcal{N})$ is symplectic, namely for $\mathcal{N} = 3, 4, 5$, symmetric if the representation is orthogonal, $\mathcal{N} = 7, 8$, and a Hermitian form for $\mathcal{N} = 2, 6$.

In flat space-time, the supersymmetry transformations are given in terms of these complex structures as

$$\begin{aligned}\delta\Phi^\alpha &= \bar{\epsilon}^0\psi^\alpha + \bar{\epsilon}^I(\omega^{0I})^\alpha{}_\beta\psi^\beta, \\ \delta\psi^\alpha &= \gamma^\mu\partial_\mu\Phi^\beta(\delta_\beta^\alpha\epsilon^0 + \epsilon^I(\omega^{0I})^\alpha{}_\beta),\end{aligned}\tag{3.3}$$

where we have split $\epsilon^M \rightarrow (\epsilon^0, \epsilon^I)$, and the index $I = 1, \dots, \mathcal{N} - 1$ labels the extended supersymmetries.

3.2 Conformal Killing Spinors

The formulation requires the existence of a conformal Killing spinor ϵ in $D = 3$ defined by the equation

$$\nabla_\mu\epsilon = \frac{1}{2}\gamma_\mu\eta,\tag{3.4}$$

for some spinor η which can be readily solved from this equation. Both ϵ and η are two component Majorana spinors in the three-dimensional space time with metric $h_{\mu\nu} = e_\mu{}^r e_\nu{}^s \eta_{rs}$, see appendix A for our spinor conventions. In particular, equation (3.4) implies the existence of a conformal Killing vector, $\xi^\mu = \bar{\epsilon}\gamma^\mu\epsilon$, i.e.³

$$\mathcal{L}_\xi e_\mu{}^r = 2\Omega e_\mu{}^r - \Lambda^r{}_s e_\mu{}^s,\tag{3.5}$$

with a compensating Lorentz transformation $\Lambda_{rs} \equiv e_{[r}{}^\mu e_{s]}{}^\nu \nabla_\mu\xi_\nu + \xi^\mu\omega_{\mu rs}$.⁴

The geometry of the three dimensional manifolds admitting non-zero conformal Killing spinors is discussed in some detail in appendix C. The local integrability condition of eqn.(3.4) is that the Cotton tensor $C_{\nu\rho\mu}$ vanishes, that is that the spacetime metric $h_{\mu\nu}$ should be locally conformally flat. The number of linearly independent conformal Killing spinors is $N(g)_{\text{local}} \leq 4$. As discussed in appendix C, it is known that [25]:

- $N(g)_{\text{local}} = 4$ if and only if M is conformally flat;
- $N(g)_{\text{local}} = 1$ if and only if M is locally conformally equivalent to a pp -wave metric

$$ds^2 = dx^+ dx^- + f(x^+, y)(dx^+)^2 + dy^2;\tag{3.6}$$

- $N(g)_{\text{local}} = 0$ in all other cases.

Note that a two component Majorana spinor counts as two linearly independent spinors. Thus, $N_g = 4$ means that there are two Majorana spinors, with two linearly independent components each, and $N_g = 1$ refers to one Majorana spinor with a single nonvanishing component.

³As usual, in the expression for the Killing vector (and only there), we assume *commuting* spinor components of ϵ .

⁴For Lorentz transformations, we use the conventions $\delta E_\mu{}^r = -\Lambda^{rs}E_{\mu s}$, $\delta\omega_\mu{}^{rs} = \partial_\mu\Lambda^{rs} + \dots$, $\delta\psi = -\frac{1}{4}\Lambda^{rs}\gamma_{rs}\psi$.

Not all of the conformal Killing spinors listed above have global extensions. As discussed in detail in appendix C, while it is difficult to analyse the global existence conditions for $N(g)_{\text{global}} < 4$, it can be shown that $N(g)_{\text{global}} = 4$ for:

- M is conformally equivalent to one of the (infinitely many) covers of the AdS_3 space;
- M is conformal to an open domain in one of the above.

The latter case includes, in particular, the 3D Minkowski space.

3.3 The $\mathcal{N} = 1$ superconformal sigma model

The $\mathcal{N} = 1$ superconformal sigma model is the minimal supersymmetric extension of the bosonic model described by the Lagrangian (2.6) such that the supermultiplet of fields consists of $(\Phi^\alpha, \psi^\alpha)$ and the target space metric is a Riemannian cone \mathcal{M} . The Lagrangian, up to quartic fermion terms, is given by [7]

$$e^{-1} \mathcal{L}_0 = -\frac{1}{8} V R^{(h)} - \frac{1}{2} h^{\mu\nu} \partial_\mu \Phi^\alpha \partial_\nu \Phi^\beta G_{\alpha\beta}(\Phi) - \frac{1}{2} \bar{\psi}^\alpha \gamma^\mu D_\mu \psi^\beta G_{\alpha\beta}(\Phi) - \frac{1}{2} D_\alpha \partial_\beta \mathcal{F}(\Phi) \bar{\psi}^\alpha \psi^\beta - \frac{1}{2} G^{\alpha\beta}(\Phi) \partial_\alpha \mathcal{F}(\Phi) \partial_\beta \mathcal{F}(\Phi) , \quad (3.7)$$

where $e = |\det e_\mu{}^r|$, the covariant derivative $D_\mu \psi^\alpha = \nabla_\mu \psi^\alpha + \Gamma_{\beta\gamma}^\alpha(\Phi) \partial_\mu \Phi^\beta \psi^\gamma$ involves the Christoffel symbols of the scalar target space, and as in the bosonic model V is a function on the cone \mathcal{M} which satisfies the relations (2.2):

$$G_{\alpha\beta} = D_\alpha \partial_\beta V , \quad G^{\alpha\beta} \partial_\alpha V \partial_\beta V = 2V . \quad (3.8)$$

The *real superpotential* \mathcal{F} is another function on \mathcal{M} , which encodes the scalar potential and must satisfy the homogeneity condition

$$G^{\alpha\beta} \partial_\alpha V \partial_\beta \mathcal{F} = 4\mathcal{F} . \quad (3.9)$$

The action (3.7) is invariant under the following conformal transformations

$$\begin{aligned} \delta_c \Phi^\alpha &= \xi^\mu \partial_\mu \Phi^\alpha + 2\Omega V^\alpha , \\ \delta_c \psi^\alpha &= \xi^\mu \nabla_\mu \psi^\alpha + \frac{1}{4} \nabla_\mu \xi_\nu \gamma^{\mu\nu} \psi^\alpha + 2\Omega \psi^\alpha - \Omega V^\beta \Gamma_{\beta\gamma}^\alpha \psi^\gamma , \end{aligned} \quad (3.10)$$

and the conformal supersymmetry transformation, up to cubic fermions, by

$$\begin{aligned} \delta_{\text{sc}} \Phi^\alpha &= \bar{\epsilon} \psi^\alpha , \\ \delta_{\text{sc}} \psi^\alpha &= \partial_\mu \Phi^\alpha \gamma^\mu \epsilon - G^{\alpha\beta} \partial_\beta \mathcal{F} \epsilon + \frac{1}{2} V^\alpha \eta , \end{aligned} \quad (3.11)$$

where ϵ is a conformal Killing vector defined by (2.1) and ϵ is a Killing spinor defined by (3.4). In view of the latter equation, the spinor η is not an independent spinor, but given by $\eta = \frac{2}{3} \gamma^\mu D_\mu \epsilon$. The number of solutions to the conformal Killing spinor equation is not to be confused with the number supersymmetries \mathcal{N} . For each supersymmetry,

there is a Killing spinor equation which may admit one or more solutions. The number of such solutions, the form they take, and the $3d$ metric for which they exist are additional data in the definition of the model.

For the later discussion of how to obtain the model discussed above from a suitable supergravity theory, it is convenient to work with the cone coordinates $\Phi^\alpha = \{r, \phi^i\}$, in which case the superpotential \mathcal{F} takes the form

$$\mathcal{F}(\Phi^\alpha) = r^4 F(\phi^i) , \quad (3.12)$$

with an arbitrary real function $F(\phi^i)$. For later use, we note that in these coordinates, with (3.12), and with the split of fermions according to $\psi^\alpha \rightarrow (r\lambda, r\chi^i)$, the Lagrangian (3.7) takes the explicit form

$$\begin{aligned} e^{-1} \mathcal{L}_0 = & -\frac{1}{16} r^2 R^{(h)} - \frac{1}{2} \partial^\mu r \partial_\mu r - \frac{1}{2} r^2 \partial^\mu \phi^i \partial_\mu \phi^j g_{ij} - \frac{1}{2} r^2 \bar{\lambda} \gamma^\mu \nabla_\mu \lambda - \frac{1}{2} r^4 \bar{\chi}_i \gamma^\mu D_\mu \chi^i \\ & - r^3 \bar{\chi}_i \gamma^\mu \lambda \partial_\mu \phi^i - 6r^4 F \bar{\lambda} \lambda - 3r^5 \partial_i F \bar{\chi}^i \lambda - \frac{1}{2} r^6 (D_i \partial_j F + 4F g_{ij}) \bar{\chi}^i \chi^j \\ & - \frac{1}{2} r^6 (16F^2 + g^{ij} \partial_i F \partial_j F) . \end{aligned} \quad (3.13)$$

We will come back to this result in section 6.2, where we discuss its relation to $\mathcal{N} = 1$ supergravity.

3.4 The $\mathcal{N} = 2$ superconformal sigma model

For $\mathcal{N} = 2$, the sigma model target space \mathcal{M} is a Kähler cone. It is then convenient to switch to notation in complex coordinates $(\Phi^\alpha, \Phi^{*\bar{\alpha}})$, $\alpha = 1, \dots, n$. Our spinor conventions for $\mathcal{N} = 2$ Dirac spinors are collected in appendix A. The Lagrangian for the $\mathcal{N} = 2$ superconformal sigma model, up to quartic fermion terms, is given by

$$\begin{aligned} e^{-1} \mathcal{L}_0 = & -\frac{1}{8} V R^{(h)} - h^{\mu\nu} \partial_\mu \Phi^\alpha \partial_\nu \Phi^{*\bar{\alpha}} G_{\alpha\bar{\alpha}}(\Phi, \Phi^*) - \bar{\psi}^{\bar{\alpha}} \gamma^\mu D_\mu \psi^\alpha G_{\alpha\bar{\alpha}}(\Phi, \Phi^*) \\ & - \frac{1}{2} \left(\tilde{\psi}^\alpha \psi^\beta D_\alpha \partial_\beta \mathcal{W} + \tilde{\psi}^{\bar{\alpha}} \psi^{\bar{\beta}} D_{\bar{\alpha}} \partial_{\bar{\beta}} \mathcal{W}^* \right) - \partial_\alpha \mathcal{W} \partial_{\bar{\alpha}} \mathcal{W}^* G^{\alpha\bar{\alpha}}(\Phi, \Phi^*) , \end{aligned} \quad (3.14)$$

where $W(\Phi)$ is the holomorphic superpotential, $D_\alpha \partial_\beta \mathcal{W} \equiv \partial_\alpha \partial_\beta \mathcal{W} - \Gamma_{\alpha\beta}^\gamma \partial_\gamma \mathcal{W}$, and $G_{\alpha\bar{\alpha}}(\Phi, \Phi^*)$ is now a Kähler metric:

$$G_{\alpha\bar{\alpha}}(\Phi, \Phi^*) = \partial_\alpha \partial_{\bar{\alpha}} \mathcal{K}(\Phi, \Phi^*) . \quad (3.15)$$

Comparing this to (2.2) shows that the function V can be identified with the Kähler potential $V = \mathcal{K}$. Moreover, the Kähler cone structure implies that

$$D_\alpha \partial_\beta \mathcal{K} = 0 = D_{\bar{\alpha}} \partial_{\bar{\beta}} \mathcal{K} . \quad (3.16)$$

In particular, the Lagrangian (3.14) has $\mathcal{N} = 1$ supersymmetry. Indeed, one verifies, that it is of the form (3.7) with the real superpotential

$$\mathcal{F} = \mathcal{W} + \mathcal{W}^* , \quad (3.17)$$

which must satisfy the homogeneity condition (3.9).

The full $\mathcal{N} = 2$ superconformal symmetry, up to cubic fermion terms, is given by

$$\begin{aligned}\delta_{\text{sc}}\Phi^\alpha &= \bar{\epsilon}\psi^\alpha, \\ \delta_{\text{sc}}\psi^\alpha &= \partial_\mu\Phi^\alpha\gamma^\mu\epsilon - G^{\alpha\bar{\alpha}}\partial_{\bar{\alpha}}\mathcal{W}^*B^*\epsilon^* + \frac{1}{2}G^{\alpha\bar{\alpha}}\partial_{\bar{\alpha}}\mathcal{K}^*\eta,\end{aligned}\tag{3.18}$$

where ϵ is a conformal Killing spinor satisfying (3.4) in which both ϵ and η are Dirac spinors, and B is a constant matrix defined in appendix A.

The Kähler cone structure is exhibited by splitting the complex coordinates on \mathcal{M} as $\Phi^\alpha = \{z, \phi^i\} \equiv \{re^{i\tau}e^{-K(\phi, \phi^*)/2}, \phi^i\}$ such that the Kähler potential takes the form

$$\mathcal{K}(\Phi, \Phi^*) = r^2 = |z|^2 e^{K(\phi, \phi^*)}.\tag{3.19}$$

The target space metric then takes the form

$$G_{\alpha\bar{\alpha}}d\Phi^\alpha d\Phi^{\bar{\alpha}} = (\partial_\alpha\partial_{\bar{\alpha}}\mathcal{K})d\Phi^\alpha d\Phi^{\bar{\alpha}} = dr^2 + r^2\left[(d\tau - \frac{1}{2}\mathcal{Q})^2 + g_{i\bar{j}}d\phi^i d\phi^{*\bar{j}}\right],\tag{3.20}$$

with the connection $\mathcal{Q} = i(\partial_i K d\phi^i - \partial_{\bar{i}} K d\phi^{*\bar{i}})$, and where $g_{i\bar{j}} = \partial_i\partial_{\bar{j}}K$ is a Kähler metric on the $(n-1)$ dimensional complex manifold parametrized by the ϕ^i . In these coordinates, the holomorphic superpotential takes the form

$$\mathcal{W}(\Phi) = z^4 W(\phi),\tag{3.21}$$

where $W(\phi)$ is an arbitrary holomorphic function of the coordinates ϕ^i .

4 Building blocks for superconformal gaugings

In this and the next section we discuss all possible gaugings of an \mathcal{N} -supersymmetric sigma-model which are compatible with the extended superconformal symmetry. In our set-up the gauge vectors A_μ^m enter in the Lagrangian only through the covariant derivatives and the Chern-Simons terms. This is not a limitation to generality: any Lagrangian (at most quadratic in the vector's field strengths) can be put in this canonical form by a generalized duality transformation [26, 11], at the price of allowing, possibly, for non-reductive gauge groups⁵.

Again, we have two possible strategies at our disposal: either we perform a direct construction, or we start from supergravity and take a rigid limit. Both approaches lead to the same conclusions, and there is a beautiful interplay between the geometric structures of the gauged superconformal and supergravity theories. We start with the direct approach and then relate our findings to supergravity in section 6.

⁵However, in the *superconformal* $\mathcal{N} \geq 3$ case the gauge group G should be compact, hence reductive. See section 5.4.

4.1 The isometry group

The isometry group, $\text{Iso}(\mathcal{M})$, of a manifold \mathcal{M} which is both a *cone* and \mathcal{N} -Kähler enjoys special properties. Indeed, for $\mathcal{N} \geq 3$,

$$\begin{array}{l} \text{the } \mathcal{N}\text{-Kähler manifold} \\ \mathcal{M} \text{ is a cone} \end{array} \iff \begin{array}{l} \mathfrak{spin}(\mathcal{N}) \subset \mathfrak{iso}(\mathcal{M}) \\ \text{with the } \omega^{MN} \text{ transforming} \\ \text{in the adjoint representation} \end{array} \quad (4.1)$$

while for $\mathcal{N} = 2$ only the arrow \Rightarrow makes sense since the statement in the RHS about the ω^{MN} 's is empty in this case.

The meaning of this geometric theorem is quite transparent: if \mathcal{M} is a cone, the corresponding σ -model is conformal, while, if \mathcal{M} is \mathcal{N} -Kähler, the σ -model has \mathcal{N} -extended SUSY; hence, if \mathcal{M} enjoys both properties, the σ -model should have a full \mathcal{N} -extended superconformal invariance. In particular, it must have a $\text{Spin}(\mathcal{N})$ R -symmetry, which should act on the scalars Φ^α through isometries of \mathcal{M} . It is quite remarkable that for $\mathcal{N} \geq 3$ the converse is also true: \mathcal{N} -SUSY and a global $\text{Spin}(\mathcal{N})$ R -symmetry together imply conformal invariance!

The proof of (4.1) is straightforward. For one direction (\Rightarrow), consider the vectors

$$K_\alpha^{MN} = (\omega^{MN})_\alpha^\beta \mathcal{K}_\beta. \quad (4.2)$$

They are obviously Killing vectors, since $D_\alpha K_\beta^{MN} = \omega_{\beta\gamma}^{MN} D_\alpha \mathcal{K}^\gamma = \omega_{\alpha\beta}^{MN} = -\omega_{\beta\alpha}^{MN}$, and belong manifestly to the adjoint of $\mathfrak{spin}(\mathcal{N})$. For the other direction, recall that a Riemannian manifold \mathcal{M} is cone iff there is a global function \mathcal{K} such that

$$G_{\alpha\beta} = D_\alpha \partial_\beta \mathcal{K}, \quad \text{and} \quad \partial^\alpha \mathcal{K} \partial_\alpha \mathcal{K} = 2\mathcal{K}, \quad (4.3)$$

generalizing the Kähler potential of sect. 3.4. Assume the \mathcal{N} -Kähler manifold \mathcal{M} has a $\text{Spin}(\mathcal{N})$ isometry under which the closed 2-forms ω^{MN} transform according to the adjoint representation, and let K_α^{MN} be the corresponding Killing vectors. We claim that the function⁶

$$\mathcal{K} = \frac{1}{2\mathcal{N}(\mathcal{N}-1)} K^{MN\alpha} K_\alpha^{MN} \quad (4.4)$$

satisfies eqns.(4.3) and hence \mathcal{M} is a (global) cone. Indeed, consider the antisymmetric tensor $D_\alpha K_\beta^{MN}$. It is a 2-form which transforms according to the adjoint of $\text{Spin}(\mathcal{N})$; moreover, by a theorem of Kostant [27], the forms $D_\alpha K_\beta^{MN}$ are covariantly constant⁷. Then $D_\alpha K_\beta^{MN}$ should coincide with the symplectic form $\omega_{\alpha\beta}^{MN}$ up to an overall normalization. We fix the relative normalization to 1:

$$D_\alpha K_\beta^{MN} = \omega_{\alpha\beta}^{MN}. \quad (4.5)$$

⁶Here and in the following, the $SO(\mathcal{N}) \simeq \text{Spin}(\mathcal{N})$ indices M, N, P, \dots are raised and lowered with the Kronecker metric δ^{MN} and δ_{MN} ; hence, as a rule, we shall not distinguish between upper and lower $SO(\mathcal{N})$ indices.

⁷Consider the ‘flat’ index object $V_{\alpha A}^\beta V_{\alpha B}^\beta D_\alpha K_\beta^{MN}$. It should be an invariant $\text{Spin}(\mathcal{N})$ tensor, and hence of the form $f \eta_{ab}(\Sigma^{MN})_{AB}$ for some function f . In form notations, this is $dK^{MN} = f \omega^{MN}$. Taking the derivative of both sides one gets $df \wedge \omega^{MN} = 0$ which implies f is a constant since ω^{MN} is non-degenerate.

Consider the vector

$$\begin{aligned}\mathcal{K}_\alpha &= \frac{1}{\mathcal{N}(\mathcal{N}-1)}(\omega^{MN})_\alpha{}^\beta K_\beta^{MN} = \frac{1}{\mathcal{N}(\mathcal{N}-1)}(D_\alpha K^{MN\beta}) K_\beta^{MN} = \\ &= \partial_\alpha \left(\frac{1}{2\mathcal{N}(\mathcal{N}-1)} K^{MN\beta} K_\beta^{MN} \right) \equiv \partial_\alpha \mathcal{K}.\end{aligned}\tag{4.6}$$

one has the following identity

$$\begin{aligned}D_\alpha \partial_\beta \mathcal{K} &= D_\alpha \mathcal{K}_\beta = \frac{1}{\mathcal{N}(\mathcal{N}-1)}(\omega^{MN})_\beta{}^\gamma D_\alpha K_\gamma^{MN} = \\ &= \frac{1}{\mathcal{N}(\mathcal{N}-1)}(\omega^{MN})_\beta{}^\gamma \omega_{\alpha\gamma}^{MN} = G_{\alpha\beta}\end{aligned}\tag{4.7}$$

where in the last equality we used the definition of ω^{MN} , eqn. (3.2), and the $\text{Spin}(\mathcal{N})$ identity

$$(\Sigma^{MN})^A{}_C (\Sigma^{MN})^C{}_B = -\mathcal{N}(\mathcal{N}-1) \delta^A{}_B.\tag{4.8}$$

In particular, \mathcal{K}_α is a *conformal* Killing vector,

$$\mathcal{L}_{\mathcal{K}} G_{\alpha\beta} = 2 G_{\alpha\beta} \quad \implies \quad \mathcal{L}_{\mathcal{K}} \mathcal{K} = 2 \mathcal{K},\tag{4.9}$$

i.e. $G^{\alpha\beta} \partial_\alpha \mathcal{K} \partial_\beta \mathcal{K} = 2 \mathcal{K}$, and \mathcal{M} is indeed a cone⁸.

For our purposes, the above geometric theorem has two useful applications: first of all, it gives us an explicit formula for the coupling function \mathcal{K} , eqn. (4.4). Secondly, it leads to a supersymmetric *non-renormalization* theorem, see §.4.5.

4.2 Gaugeable isometries

Our goal is to gauge a subgroup G of the isometry group $\text{Iso}(\mathcal{M})$ of the target space \mathcal{M} in a \mathcal{N} -superconformal way. In general, not all isometries of the target manifold can be gauged in a supersymmetric way, but only those belonging to the subgroup $\text{Iso}(\mathcal{M})_0$ of the *multi-symplectic* isometries, namely those generated by Killing vectors K_α^m leaving invariant the $\mathcal{N}(\mathcal{N}-1)/2$ symplectic structures ω^{MN} ,

$$K^m \in \mathfrak{iso}(\mathcal{M})_0 \iff \mathcal{L}_{K^m} \omega^{MN} = 0.\tag{4.10}$$

In the $\mathcal{N} = 2$ case, the subgroup $\text{Iso}(\mathcal{M})_0$ corresponds precisely to the group of *holomorphic* isometries.

Conformal invariance, even in the purely bosonic context, puts other conditions on the allowed gaugings. Indeed, the conformal Lagrangian contains the coupling $-\mathcal{K} R(h)$; we can gauge (in a conformal way) only the global symmetries which leave

⁸The special case $\mathcal{N} = 3$ is a central result in the theory of 3-Sasakian manifolds: \mathcal{M} *hyperkähler with a $\text{Spin}(3)$ isometry rotating the 3 complex structures* \Leftrightarrow \mathcal{M} *is a metric cone over a 3-Sasakian manifold*. See [28] and [29] (especially **proposition 1.6** and **theorem A**).

invariant this term, that is isometries contained in the subgroup of $\text{Iso}(\mathcal{M})$ generated by Killing vectors K_α^m such that [7]

$$\mathcal{L}_{K^m}\mathcal{K} = i_{\mathcal{E}}K^m = 0 \quad \Leftrightarrow \quad \mathcal{L}_{\mathcal{E}}K^m = 0, \quad (4.11)$$

where $\mathcal{E} \equiv \partial^\alpha \mathcal{K} \partial_\alpha$ is the *concurrent*⁹ vector field, corresponding to the Euler vector $r \partial_r$, whose existence characterizes the conical metrics. The two conditions in eqn. (4.11) are equivalent for \mathcal{E} the concurrent vector of a conic geometry. Indeed,

$$\mathcal{L}_{\mathcal{E}}K^m = -\mathcal{L}_{K^m}\mathcal{E} = -\text{grad } \mathcal{L}_{K^m}\mathcal{K} = 0. \quad (4.12)$$

The geometric meaning of the conditions (4.11) is evident: we can gauge in a conformal way only the isometries $\text{Iso}(B)$ of the base B of the cone \mathcal{M} .

In conclusion, the maximal subgroup that can be gauged in an \mathcal{N} -superconformal way is contained in $\text{Iso}(B)_0$, namely the isometries of the base B which act multi-symplectically on the total cone \mathcal{M} . The Kostant theorem [27] gives

$$\mathfrak{iso}(B) = \mathfrak{spin}(\mathcal{N}) \oplus \mathfrak{iso}(B)_0. \quad (4.13)$$

We stress that, in particular, this means that the R -symmetry cannot be gauged in a superconformal way. Besides the general constraint $G \subset \text{Iso}(B)_0$, \mathcal{N} -extended superconformal invariance requires the gauge group to satisfy some specific conditions to be discussed in detail in sect. 5.2 below.

The gauge group $G \subset \text{Iso}(B)_0$ is necessarily compact. The same is true for $\mathcal{N} = 2$ if we assume \mathcal{M} to be Ricci-flat (namely a Calabi-Yau cone, whose basis is a Sasaki-Einstein manifold [23]) as it should be for a conical σ -model in order to be conformal at the quantum level¹⁰.

There is a simple geometric reason for this. If \mathcal{M} is any metric cone, $ds^2 = dr^2 + r^2 g_{ij}(y) dy^i dy^j$, its Riemann tensor has the form

$$R_{ijk r} = R_{ir j r} = 0 \quad (4.14)$$

$$R_{ijk}{}^\ell = R_{ijk}{}^\ell \Big|_B - g_{ik} \delta_j^\ell + g_{jk} \delta_i^\ell, \quad (4.15)$$

where i, j, k, ℓ label the directions tangent to the base B (*i.e.* orthogonal to the Euler vector $\mathcal{E} \equiv r \partial_r$) and g_{ij} and $R_{ijk}{}^\ell \Big|_B$ are, respectively, the metric and the Riemann tensor of the basis B . Hence

$$R_{ij} \Big|_{\mathcal{M}} = R_{ij} \Big|_B - (\dim B - 1) g_{ij}. \quad (4.16)$$

⁹A vector field V_α is called *concurrent* if $G_{\alpha\beta} = D_\alpha V_\beta$. Then V_α is automatically both a gradient and a homothetic (conformal) Killing vector.

¹⁰This statement holds for \mathcal{M} a cone. The full quantum $\mathcal{N} = 2$ theory may have other, higher non-trivial, RG fixed points not based on conical manifolds. This cannot happen for $\mathcal{N} \geq 3$, where the classical geometric condition for superconformal invariance, *i.e.* \mathcal{M} a cone, is expected to hold at the full quantum level. See section 4.5

\mathcal{N}	B	R -symmetry	generic $\text{Iso}(B)$
1	any Riemannian manifold	trivial	$\text{Iso}(B)$
2	Sasakian manifold	$\text{Spin}(2)$	$\text{Spin}(2) \times \text{Iso}(B)_0$
3	3-Sasakian manifold	$\text{Spin}(3)$	$\text{Spin}(3) \times \text{Iso}(B)_0$
4	‘4-Sasakian’ manifold (*)	$\text{Spin}(4)$	$\text{Spin}(2) \times \text{Spin}(2) \times \text{Iso}(B)_0$
≥ 5	S^{n-1}/Γ (**)	$\text{Spin}(\mathcal{N})$	commutant of Γ in $SO(n)$

Table 1: Bases B of the \mathcal{N} -Kählerian cones. The 4-Sasakian manifolds are 3-Sasakian manifolds with, possibly, a special action of the $\text{Spin}(4)$ isometry group. In the last row, $n = 8m$ with m the number of ‘supermultiplets’ and Γ is a discrete subgroup of $SO(n)$ commuting with the action of $\text{Spin}(\mathcal{N})$.

Now, for $\mathcal{N} \geq 3$, any \mathcal{N} -Kähler manifold is, in particular, Ricci-flat. Then eqn. (4.16) implies that the basis B is an Einstein space, $R_{ij} = \Lambda G_{ij}$, with positive ‘cosmological constant’ $\Lambda \equiv (\dim B - 1)$. Then Meyers theorem (ref. [24] **theorem 6.51**, or ref. [30]) implies that the base B , if complete, should be *compact* with a diameter

$$\text{diam}(B) \leq \pi/\sqrt{(\dim B - 1)}. \quad (4.17)$$

This means that group of isometries which is relevant for the superconformal gaugings, $\text{Iso}(B)_0$, is also compact (ref. [24] **corollary 1.78**). The gauge group, G , being a closed subgroup of $\text{Iso}(B)_0$, should also be compact, and hence reductive. Thus, in the superconformal case $\mathcal{N} \geq 3$ (and also $\mathcal{N} = 2$ if we require Ricci-flatness), no fancy non-reductive/non-compact gauging is allowed.

4.3 Momentum maps

In the $\mathcal{N} = 2$ case (\mathcal{M} Kähler), it is well known that the full SUSY completion of the gauge interactions (including Yukawa and potential terms) for a general σ -model may be conveniently encoded in the momentum map of the isometry subgroup G to be gauged. Here we generalize the momentum map method to any \mathcal{N} -Kähler manifold.

In rigid supersymmetry not all symmetries can be gauged, but only those commuting with the supercharges. As we already mentioned, geometrically this means that the gauge group should be a subgroup of $\text{Iso}(\mathcal{M})_0$, the group of isometries commuting with the R -symmetry $\text{Spin}(\mathcal{N})$. This subgroup is the exponential of the algebra $\mathfrak{iso}(\mathcal{M})_0$ generated by the Killing vectors K_α^m satisfying eqn. (4.10). Identifying our symplectic structures ω^{MN} with the Lie algebra $\mathfrak{spin}(\mathcal{N})$, the momentum map can be seen as a map $\mu: \mathfrak{iso}(\mathcal{M})_0 \rightarrow \mathfrak{spin}(\mathcal{N})$. Concretely, one defines functions μ^{MNm} on \mathcal{M} from the

condition¹¹

$$0 = \mathcal{L}_{K^m} \omega^{MN} = d(i_{K^m} \omega^{MN}) \implies \omega_{\alpha\beta}^{MN} K^{m\beta} = -\partial_\alpha \mu^{MNm}. \quad (4.18)$$

In general, the \mathcal{N} -supersymmetric gauge coupling of a σ -model can be described completely in terms of the functions μ^{MNm} . The situation in the superconformal case is simpler, since we have an explicit *global* expression for μ^{MNm} . Indeed, recall from §. 4.1 that, if \mathcal{M} is a cone, we have Killing vectors K_α^{MN} generating the R -isometry group $\text{Spin}(\mathcal{N})$. We claim that

$$\mu^{MNm} = -\frac{1}{2} K^{MN\alpha} K_\alpha^m. \quad (4.19)$$

Indeed, it is straightforward to show that μ^{MNm} constructed in this way satisfies (4.18), recalling $D_\alpha K_\beta^{MN} = \omega_{\alpha\beta}^{MN}$ and using (4.5) and $\mathcal{L}_{K^{AB}} K^m = 0$ for $K^m \in \mathfrak{iso}(B)_0$, cfr. (4.13).

Another important property of the momentum map is that the *complex function*

$$\mu^{NPm} + i \mu^{MPm} \quad M, N, P \text{ all distinct!} \quad (4.20)$$

is holomorphic with respect the complex structure $(\omega^{MN})_\alpha^\beta$ in the sense that the Dolbeault derivative with respect this complex structure defined as $(\partial_\alpha - i(\omega^{MN})_\alpha^\beta \partial_\beta)$ acting on this function gives zero:

$$(\partial_\alpha - i(\omega^{MN})_\alpha^\beta \partial_\beta)(\mu^{NPm} + i \mu^{MPm}) = 0, \quad (4.21)$$

with NO sum over repeated capital indices. This follows from the computation of $(\omega^{MN})_\alpha^\beta \partial_\beta \mu^{PQm}$ for $Q = M$, with M, N, P taken to be *all distinct*, and using the fact that $(\omega^{MN})_{\alpha\beta}$ are the generators of $\mathfrak{spin}(\mathcal{N})$ satisfying (B.4). The result (4.21) is needed in establishing key properties of the T -tensors which turn out to encode the gauge-induced couplings, as will be discussed in the next section.

4.4 The embedding tensor Θ_{mn} and the T -tensor

We wish to gauge a subgroup $G \subset \text{Iso}(B)_0$ in a superconformal manner. This is conveniently done by introducing an ‘embedding tensor’ $\Theta_{mn}: \mathfrak{iso}(B)_0^\vee \rightarrow \mathfrak{iso}(B)_0$, as it is customary in gauged supergravity [8]. The gauged Lie subalgebra \mathfrak{g} corresponds to the image of Θ_{mn} in $\mathfrak{iso}(B)_0$. In terms of Θ_{mn} , the infinitesimal gauge transformation on a generic field Φ reads

$$\delta_\Lambda \Phi = \Lambda^m(x) \Theta_{mn} \mathcal{L}_{K^n} \Phi, \quad (4.22)$$

where $\Lambda^m(x)$ are x -dependent parameters. Correspondingly, the gauge-covariant derivative takes the form

$$\mathcal{D}_\mu \Phi = D_\mu - A_\mu^m \Theta_{mn} \mathcal{L}_{K^n} \Phi, \quad (4.23)$$

¹¹In general, μ^{MNm} is defined only locally and it is defined up to the addition of a constant. As we shall see momentarily, in the superconformal case, there is a unique global (preferred) definition of μ^{MNm} .

where D_μ is the covariant derivative appropriate for the field Φ in the corresponding ungauged model. The closure of the transformations (4.22), requires that the range \mathfrak{g} of Θ_{mn} is a Lie *subalgebra* of $\mathfrak{iso}(B)_0$. Then Θ_{mn} is automatically a \mathfrak{g} -invariant tensor.

The possible gaugings of a supersymmetric model are in one-to-one correspondence with the allowed embedding tensors Θ_{mn} . Then the question of which gaugings are compatible with \mathcal{N} -superconformal invariance boils down to the classification of the corresponding embedding tensors Θ_{mn} .

There are various techniques for finding the conditions that Θ_{mn} should satisfy. The crucial observation, however, is that geometrically we have just one canonical \mathfrak{g} -invariant function on \mathcal{M} which may encode the gauge-induced physical couplings, namely

$$T^{MN,PQ} = \mu^{MNm} \Theta_{mn} \mu^{PQn}. \quad (4.24)$$

Borrowing from the supergravity language [8, 11], we shall call $T^{MN,PQ}$ the *T-tensor*. All terms in the \mathcal{N} -supersymmetric completion of the gauge couplings should be encoded in the *T-tensor* in an universal (*i.e.* model-independent) way.

The *T-tensor* satisfies very interesting differential identities. Assuming that $\Theta_{mn} = \Theta_{nm}$ is symmetric (as we shall see momentarily) one has in particular:

$$\partial_\alpha (T^{MP,MP} - T^{NP,NP}) = 2 (\omega^{MN})_\alpha^\beta \partial_\beta T^{MP,NP}. \quad (4.25)$$

where M, N, P are *all distinct* and there is NO sum over repeated $\text{Spin}(\mathcal{N})$ indices. This identity readily follows from the observation that fixing M and N (distinct), and letting P, Q be two indices which are not equal to M nor N (we do not exclude the case $P = Q$), the expression

$$\begin{aligned} (\mu^{NPm} + i \mu^{MPm}) \Theta_{mn} (\mu^{NQm} + i \mu^{MQm}) &= \\ &= (T^{NP,NQ} - T^{MP,MQ}) + i(T^{MP,NQ} + T^{NP,MQ}) \end{aligned} \quad (4.26)$$

is holomorphic with respect the complex structure $(\omega^{MN})_\alpha^\beta$, in view of (4.21).

Another useful identity of the same kind is

$$(\omega^{MN})_\alpha^\gamma \left\{ K_\gamma^m \Theta_{mn} K_\beta^n + D_\beta \partial_\gamma \left(\frac{1}{2} T^{MN,MN} \right) \right\} + (\alpha \leftrightarrow \beta) = 0, \quad (4.27)$$

where, again, NO sum over M, N is implied. Contracting the identity (4.27) with $(\omega^{MN})_\delta^\beta$ we get

$$\begin{aligned} (\omega^{MN})_\alpha^\gamma (\omega^{MN})_\beta^\delta \left[K_\gamma^m \Theta_{mn} K_\delta^n + D_\gamma \partial_\delta \left(\frac{1}{2} T^{MN,MN} \right) \right] &= \\ &= K_\alpha^m \Theta_{mn} K_\beta^n + D_\alpha \partial_\beta \left(\frac{1}{2} T^{MN,MN} \right), \quad \text{NO sum over } M, N. \end{aligned} \quad (4.28)$$

This identity, and (4.25), will be needed in establishing the criteria for enhanced \mathcal{N} -superconformal symmetry in section 5.2.

4.5 A quantum non-renormalization theorem

We stress that the superconformal invariance persists at the full quantum level for $\mathcal{N} \geq 3$ (modulo the problem with the singularity at the tip of the cone). This is known to be the case for flat target space [5, 31, 32]. Here, we can generalize this result to the case of non-flat targets by noting that the geometric theorem proven in sect. 4.1 (eqn. (4.1)) can be interpreted as a supersymmetric *non-renormalization* theorem: Quantum corrections are not expected, in $D = 3$, to spoil neither supersymmetry nor the global $\text{SO}(\mathcal{N})$ symmetry; but the two together imply that the target metric is conic, so the conical nature of $G_{\alpha\beta}$ is preserved by the quantum corrections. But conicity is, geometrically, the landmark of conformal invariance in $D = 3$. Note that here we get a non-renormalization theorem for the Kähler potential, rather than for the superpotential as usual.

5 Superconformal gaugings and Chern–Simons interactions

Using the results of the previous section, we are now ready to find all possible superconformal gaugings. We shall start with the $\mathcal{N} = 1$ case. We shall then study the criteria for the enhancement of the $\mathcal{N} = 1$ conformal supersymmetry to any \mathcal{N} . As the target manifolds are necessarily flat for $\mathcal{N} > 4$ and the models for those cases are already known explicitly, we shall highlight the models with non-flat target manifolds for $\mathcal{N} \leq 4$, and present in detail those with $\mathcal{N} = 1, 2$ conformal supersymmetry.

5.1 $\mathcal{N} = 1$ theories

In accordance with the formalism presented in the previous section, the $\mathcal{N} = 1$ gauged superconformal model has the Lagrangian

$$\begin{aligned}
e^{-1} \mathcal{L}_0 &= -\frac{1}{8} V R^{(h)} - \frac{1}{2} h^{\mu\nu} \mathcal{D}_\mu \Phi^\alpha \mathcal{D}_\nu \Phi^\beta G_{\alpha\beta} + \frac{1}{2} \bar{\psi}^\alpha \gamma^\mu \mathcal{D}_\mu \psi^\beta G_{\alpha\beta} \\
&\quad - \frac{1}{2} (\Theta_{mn} K_\alpha^m K_\beta^n + D_\alpha \partial_\beta \mathcal{F}) \bar{\psi}^\alpha \psi^\beta - G^{\alpha\beta} \partial_\alpha \mathcal{F} \partial_\beta \mathcal{F} \\
&\quad + \varepsilon^{\mu\nu\rho} \Theta_{mn} A_\mu^m (\partial_\nu A_\rho^n + \frac{1}{3} \Theta_{kp} f^{np}_l A_\nu^k A_\rho^l) ,
\end{aligned} \tag{5.1}$$

with covariant derivatives

$$\mathcal{D}_\mu \Phi^\alpha = \partial_\mu \Phi^\alpha - A_{\mu m} K^{\alpha m} \tag{5.2}$$

$$\mathcal{D}_\mu \psi^\alpha = D_\mu \chi^\alpha - A_{\mu m} D_\beta K^{\alpha m} \psi^\beta \tag{5.3}$$

where we used the convention $A_{\mu m} \equiv \Theta_{nm} A_\mu^n$. The killing vector fields obey the algebra

$$K^{\alpha m} \partial_\alpha K^{\beta n} - K^{\alpha n} \partial_\alpha K^{\beta m} = f^{mn}_k K^{\beta k} , \tag{5.4}$$

The embedding tensor Θ_{mn} encodes all the gauging data: the subgroup $G \subset \text{Iso}(B)_0$ we are gauging, and the level–matrix of the Chern–Simons sector (that is, the gauge couplings). Consistency requires $\mathcal{F}(\Phi)$ to be a gauge invariant function on \mathcal{M} , namely

$$\Theta_{mn} \mathcal{L}_{K^n} \mathcal{F} = 0. \quad (5.5)$$

The action (3.7) is invariant under the following superconformal transformations:

$$\begin{aligned} \delta\Phi^a &= \bar{\epsilon} \chi^a, \\ \delta\psi^\alpha &= \mathcal{D}_\mu \Phi^\alpha \gamma^\mu \epsilon - G^{\alpha\beta} \partial_\beta \mathcal{F} \epsilon + \frac{1}{2} G^{\alpha\beta} \partial_\beta V \eta, \\ \delta A_\mu^m &= K^{\alpha m} \bar{\epsilon} \gamma_\mu \psi_\alpha, \end{aligned} \quad (5.6)$$

where V is an arbitrary function on the cone.

5.2 Criteria for enhanced \mathcal{N} –superconformal symmetry

In rigid supersymmetry, any \mathcal{N} –extended supersymmetric model can be seen as a special instance of the $\mathcal{N} = 1$ theory. We have already written the most general $\mathcal{N} = 1$ superconformal Chern–Simons–matter theory in terms of two homogeneous (gauge–invariant) functions, \mathcal{K} and \mathcal{F} , and the gauging data Θ_{mn} . It remains only to find the special functions \mathcal{K} , \mathcal{F} , and gauging data Θ_{mn} compatible with enhanced \mathcal{N} –superconformal symmetry. For the generalized Kähler potential, \mathcal{K} , we already know the answer, eqn. (4.4).

In order to enhance the $\mathcal{N} = 1$ superconformal symmetry to an \mathcal{N} –extended one, it is enough to ensure that the $\text{Spin}(\mathcal{N})$ R –symmetry is actually a symmetry of the full Lagrangian. The action of $\text{Spin}(\mathcal{N})$ will then produce all the generators of the \mathcal{N} –extended superconformal algebra out of the $\mathcal{N} = 1$ ones.

$\text{Spin}(\mathcal{N})$ is automatically a symmetry of the kinetic terms (since $\text{Spin}(\mathcal{N})$ acts on \mathcal{M} by isometries), as well as a symmetry of the minimal gauge couplings (since we gauge a subgroup of $\text{Iso}(B)_0$, whose generators commutes with $\text{Spin}(\mathcal{N})$), and also a trivial symmetry of the Chern–Simons sector (since the vectors are inert under $\text{Spin}(\mathcal{N})$).

Therefore, to get \mathcal{N} –superconformal invariance, it remains only to enforce the $\text{Spin}(\mathcal{N})$ R –symmetry in the Yukawa couplings. Then the invariance of the scalar potential will be automatic by the fundamental principles of supersymmetry.

As we saw above, the Yukawa couplings in the $\mathcal{N} = 1$ case read

$$\bar{\chi}^\alpha (K_\alpha^m \Theta_{mn} K_\beta^n + D_\alpha \partial_\beta \mathcal{F}) \chi^\beta, \quad (5.7)$$

where χ^α is the susy–partner of the scalar Φ^α .

Assume our Chern–Simons–matter model is invariant under an extended supersymmetry generated by the supercharges Q^M , $M = 1, \dots, \mathcal{N}$. We may, in particular, view it as an $\mathcal{N} = 1$ theory with respect to the $\mathcal{N} = 1$ supersymmetry generated by the M –th supercharge, Q^M . We write $\chi^{M\alpha}$ for the fermionic superpartner of the scalar

Φ^α with respect to this particular $\mathcal{N} = 1$ supersymmetry, *i.e.* $\chi^{M\alpha} = [Q^M, \Phi^\alpha]$. The action of $\text{Spin}(\mathcal{N})$ on the fermions gives the identity

$$\chi^{M\alpha} \equiv (\omega^{MN})^\alpha{}_\beta \chi^{N\beta} \quad \text{NOT summed over } N! \quad (5.8)$$

By eqn. (5.7), invariance of the Lagrangian with respect to the M -th $\mathcal{N} = 1$ supersymmetry, Q^M , requires the Yukawa term to have the form

$$\bar{\chi}^{M\alpha} (K_\alpha^m \Theta_{mn} K_\beta^m + D_\alpha \partial_\beta \mathcal{F}^M) \chi^{M\beta} \quad \text{NOT summed over } M!, \quad (5.9)$$

for certain (real) superpotentials \mathcal{F}^M (depending on the index M).

Of course, the physical Yukawa interactions cannot depend on which $\mathcal{N} = 1$ sub-supersymmetry we choose to focus on. Equating the physical couplings computed using the $\mathcal{N} = 1$ supersymmetries generated by Q^M and Q^N , we get, *for all pairs* M, N , the equalities

$$\bar{\chi}^{M\alpha} (K_\alpha^m \Theta_{mn} K_\beta^n + D_\alpha \partial_\beta \mathcal{F}^M) \chi^{M\beta} = \bar{\chi}^{N\alpha} (K_\alpha^m \Theta_{mn} K_\beta^n + D_\alpha \partial_\beta \mathcal{F}^N) \chi^{N\beta}, \quad (5.10)$$

or, in view of eqn. (5.8),

$$(K_\alpha^m \Theta_{mn} K_\beta^n + D_\alpha \partial_\beta \mathcal{F}^M) = (\omega^{MN})_\alpha{}^\gamma (\omega^{MN})_\beta{}^\delta (K_\gamma^m \Theta_{mn} K_\delta^n + D_\gamma \partial_\delta \mathcal{F}^N), \quad (5.11)$$

with NO sum over repeated capital indices! A gauging, specified by the embedding tensor Θ_{mn} , has a full \mathcal{N} -invariant completion if and only if there exist superpotentials \mathcal{F}^M , $M = 1, 2, \dots, \mathcal{N}$, such that the consistency equation (5.11) holds for all M, N .

Let \mathcal{F}^M and $\tilde{\mathcal{F}}^M$ be two solutions to the consistency equation (5.11). One has

$$D_\alpha \partial_\beta (\mathcal{F}^M - \tilde{\mathcal{F}}^M) = (\omega^{MN})_\alpha{}^\gamma (\omega^{MN})_\beta{}^\delta D_\gamma \partial_\delta (\mathcal{F}^N - \tilde{\mathcal{F}}^N) \quad (5.12)$$

(again, NO sum over $N!$). This equation, which is independent of the gauging data Θ_{mn} , has a simple interpretation. Recall that, in the $\mathcal{N} = 2$ case, $(\omega^{12})_\alpha{}^\gamma$ is simply the complex structure of the Kähler manifold \mathcal{M} . Then, in the $\mathcal{N} = 2$ case, eqn. (5.12) is simply the Cauchy–Riemann equation stating that $\mathcal{F}^1 - \tilde{\mathcal{F}}^1$ and $\mathcal{F}^2 - \tilde{\mathcal{F}}^2$ are, respectively, the real and imaginary part of a holomorphic function \mathcal{W} . In this way, we recover the well-known fact that, in the $\mathcal{N} = 2$ case, the Yukawa couplings arise from two sources: the gauging and the superpotential \mathcal{W} which is an arbitrary holomorphic function (as long as it is gauge invariant). Thus, in that case, the \mathcal{F}^M are not uniquely determined by the gauging data, and the non-uniqueness is parametrized by a free holomorphic function \mathcal{W} , namely the superpotential.

Analogously, for $\mathcal{N} > 2$, eqn. (5.12) states that $\mathcal{F}^N - \tilde{\mathcal{F}}^N$ is the real part of a holomorphic function with respect to *all* the $(\mathcal{N} - 1)$ complex structures $(\omega^{MN})_\alpha{}^\gamma$ (N fixed, any M). Since, for $\mathcal{N} \geq 3$, there are no non-trivial such functions, the solution to the consistency equation (5.11), if it exists, is essentially¹² unique. That is: for

¹² $\mathcal{N} = 3$ is somewhat special in that, in some case, a residual non-uniqueness may still be present. This subtlety is nonmaterial for the *superconformal* gaugings.

$\mathcal{N} \geq 3$ the supersymmetric Lagrangian is fully determined by the geometry of the target space \mathcal{M} and the gauging data Θ_{mn} .

It remains to find the solution to eqn. (5.11). The solution has a simple formulation in terms of the T -tensor $T^{MN,PQ} = \mu^{MNm} \Theta_{mn} \mu^{PQn}$, which is a nice way to summarize the interplay between the geometry of \mathcal{M} and the gauging data Θ_{mn} .

The tensor $T^{MN,PQ}$ decomposes into the *irreducible* $\text{Spin}(\mathcal{N})$ representations given in terms of $SO(\mathcal{N})$ Young tableaux as

$$\left(\begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array} \odot \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array} \right)_{\text{sym.}} \simeq \mathbf{1} \oplus \begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array} \oplus \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array} \oplus \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \square \\ \hline \square \\ \hline \end{array} \quad (5.13)$$

A solution \mathcal{F}^M to the consistency condition (5.11) exists (and is unique if $\mathcal{N} \geq 3$) if and only if the \boxplus component of the T -tensor vanishes. In the rest of this section, we will prove this assertion, and as a byproduct we shall find an explicit expression for \mathcal{F}_M in terms of the T -tensor.

To begin, let us assume $T^{MN,PQ}|_{\boxplus} = 0$ or, explicitly,

$$T^{MN,PQ} = \delta^{MP} T^{NQ} - \delta^{MQ} T^{NP} - \delta^{NP} T^{MQ} + \delta^{NQ} T^{MP} + T^{[MNPQ]} \quad (5.14)$$

with $T^{MN} = T^{NM}$. Then, for $M \neq N$,

$$T^{MN,MN} = T^{MM} + T^{NN}. \quad (5.15)$$

Now, recall the basic differential identity for the T -tensor (4.28) and subtract it from the consistency equation (5.11), using eqn. (5.15), we thus obtain

$$\begin{aligned} (\omega^{MN})_{\alpha}{}^{\gamma} (\omega^{MN})_{\beta}{}^{\delta} D_{\gamma} \partial_{\delta} (2\mathcal{F}^M - T^{MM} - T^{NN}) &= \\ &= D_{\alpha} \partial_{\beta} (2\mathcal{F}^M - T^{MM} - T^{NN}), \end{aligned} \quad (5.16)$$

NO sum over $M, N!$.

This equation just requires the function $(2\mathcal{F}^M - T^{MM} - T^{NN})$ to be the real part of a function holomorphic with respect to the complex structure $(\omega^{MN})_{\alpha}{}^{\beta}$. This condition has an obvious solution

$$\mathcal{F}^M = T^{MM} \quad (5.17)$$

Indeed, the function $T^{MM} - T^{NN}$ is the real part of a function holomorphic with respect to the complex structure $(\omega^{MN})_{\alpha}{}^{\beta}$. To see this, choose (for $\mathcal{N} \geq 3$) an index $P \neq M, N$. From eqn. (5.14) we get

$$T^{MP,MP} - T^{NP,NP} = (T^{PP} + T^{MM}) - (T^{PP} + T^{NN}) = T^{MM} - T^{NN}. \quad (5.18)$$

The LHS is the real part of a function,

$$(T^{MP,MP} - T^{NP,NP}) + 2i T^{MP,NP}, \quad (5.19)$$

which is holomorphic with respect to the complex structure $(\omega^{MN})_\alpha^\beta$ in virtue of the identity (4.25). On the other hand, since we know that the solution is unique, (5.17) should be the general answer.

Conversely, we have to show that if $T^{MN,PQ}|_{\boxplus} \neq 0$ there does not exist any supersymmetric completion. First of all, we observe that this condition is empty for $\mathcal{N} \leq 3$, so for $\mathcal{N} \leq 3$ any gauging is allowed and, in particular, for $\mathcal{N} = 3$ we have a *unique* susy completion for any gauging [33, 6] given by eqn. (5.17). Hence we may assume $\mathcal{N} \geq 4$. The $\mathcal{N} \geq 4$ models are, in particular, $\mathcal{N} = 3$ theories; choosing an $\mathcal{N} = 3$ sub-supersymmetry, and forgetting for the moment the other $2(\mathcal{N} - 3)$ supercharges, we get precisely *one* solution for \mathcal{F}^M . In order for this unique Lagrangian to give actually an \mathcal{N} -supersymmetric model, and not just an $\mathcal{N} = 3$ one, we must have equalities between the Lagrangians obtained by different choices of the $\mathcal{N} = 3$ sub-supersymmetry. Take, say, the two sets of supersymmetries generated, respectively, by Q^1, Q^2, Q^3 and Q^1, Q^2, Q^4 . In the two cases, one gets, respectively, the following superpotentials (cfr. eqns.(5.17) and (5.18))

$$\left(\mathcal{F}^1 - \mathcal{F}^2\right)_{Q^1, Q^2, Q^3} = T^{13,13} - T^{23,23} , \quad (5.20)$$

$$\left(\mathcal{F}^1 - \mathcal{F}^2\right)_{Q^1, Q^2, Q^4} = T^{14,14} - T^{24,24} . \quad (5.21)$$

If the unique $\mathcal{N} = 3$ gauging has to be $\mathcal{N} \geq 4$ supersymmetric, the RHS of the two above equations should be equal. But their difference

$$T^{13,13} - T^{23,23} - T^{14,14} + T^{24,24} \in \boxplus \quad (5.22)$$

and thus we have agreement precisely if $T|_{\boxplus} = 0$. This completes the proof of the criterion (5.13).

In the particular case of $\mathcal{N} = 4$ models with flat target space and no twisted hypermultiplet, the condition (5.13) is equivalent to the beautiful Gaiotto–Witten Lie superalgebras criterion [6].

5.3 $\mathcal{N} = 2$ theories

In accordance with the results of the previous section, the gauged Lagrangian takes the explicit form

$$\begin{aligned} e^{-1} \mathcal{L} = & -\mathcal{K} R(h) - \frac{1}{2} h^{\mu\nu} \mathcal{D}_\mu \Phi^\alpha \mathcal{D}_\nu \Phi^{*\bar{\alpha}} G_{\alpha\bar{\alpha}} + \frac{1}{2} \tilde{\psi}^{\bar{\alpha}} \gamma^\mu \mathcal{D}_\mu \psi^\alpha G_{\alpha\bar{\alpha}} \\ & - \frac{1}{2} \left(\tilde{\psi}^\alpha \psi^\beta D_\alpha \partial_\beta \mathcal{W} - \tilde{\psi}^{\bar{\alpha}} \psi^{\bar{\beta}} D_{\bar{\alpha}} \partial_{\bar{\beta}} \mathcal{W}^* + \tilde{\psi}^{\bar{\alpha}} \psi^\beta K_{\bar{\alpha}}^m \Theta_{mn} K_\beta^n \right) \\ & - G^{\alpha\bar{\alpha}} (\partial_\alpha \mathcal{W} \partial_{\bar{\alpha}} \mathcal{W}^* + \partial_\alpha T \partial_{\bar{\alpha}} T) \\ & + \varepsilon^{\mu\nu\rho} \Theta_{mn} A_\mu^m (\partial_\nu A_\rho^n + \frac{1}{3} \Theta_{kp} f^{np}{}_l A_\nu^k A_\rho^l) , \end{aligned} \quad (5.23)$$

with

$$T = \mu^m \Theta_{mn} \mu^n , \quad (5.24)$$

and the moment map μ^m is given by

$$\mu^m = K^{\alpha m} \partial_\alpha \mathcal{K} + \text{c.c.} \quad (5.25)$$

In $\mathcal{N} = 1$ language, the Lagrangian (5.23) comes from a real superpotential of the form

$$\mathcal{F} = \mathcal{W} + \mathcal{W}^* + T, \quad (5.26)$$

as explained below (5.12). It is also useful to note that the Killing vectors involved here are (anti)holomorphic and they can be expressed as

$$K^{\alpha m} = iG^{a\bar{a}} \partial_{\bar{a}} \mu^m, \quad K^{\bar{\alpha} m} = -iG^{a\bar{a}} \partial_a \mu^m \quad (5.27)$$

Furthermore, the moment maps satisfy the relations

$$\nabla_{(a} \partial_b) \mu^m = 0, \quad \partial_{\bar{a}} \partial_b \mu^m = 0. \quad (5.28)$$

The Lagrangian (3.14) has the $\mathcal{N} = 2$ superconformal symmetry which, up to cubic fermion terms, is given by

$$\begin{aligned} \delta \Phi^\alpha &= i \bar{\epsilon} \psi^\alpha, \\ \delta \psi^\alpha &= \mathcal{D}_\mu \Phi^\alpha \gamma^\mu \epsilon - G^{\alpha\bar{\alpha}} \partial_{\bar{\alpha}} \mathcal{W}^* B^* \epsilon^* - G^{\alpha\bar{\alpha}} \partial_{\bar{\alpha}} \mathcal{K}^* B^* \eta^* + G^{\alpha\bar{\alpha}} \partial_{\bar{\alpha}} T \epsilon, \\ \delta A_\mu^m &= K^{\alpha m} \bar{\epsilon} \gamma_\mu \psi_\alpha + \text{c.c.} \end{aligned} \quad (5.29)$$

5.4 A puzzle and its solution

At first, it may seem that there is room for a paradox here. As already mentioned, any theory at most quadratic in the vector's field-strengths can be put in the Chern–Simons–matter form [26, 11]. This, in particular, is true for the usual $\mathcal{N} = 4, 8$ super–Yang–Mills theories (with kinetic term $F_{\mu\nu} F^{\mu\nu}$), whose scalars' target space is conical (in fact, flat), but which are obviously *not* superconformal invariant in $D = 3$. One checks that the dual Chern–Simons–matter Lagrangian does satisfy the $T|_{\boxplus} = 0$ criterion for extended $\mathcal{N} = 4, 8$ supersymmetry, as they should. So what is going wrong?

The point is that, in order to formulate the ordinary $D = 3$ SYM as a Chern–Simons–matter model, we have to enlarge the usual compact gauge group G to a non-semisimple gauge group of the form $G \times A$, with A an Abelian group whose generators transform according to the adjoint of G [26, 11]. The Killing vectors, K_A , generating the isometries associated to the Abelian ideal A , although belonging to the subgroup $\text{Iso}(\mathcal{M})_0$ as required by rigid supersymmetry, do not belong to the smaller subgroup $\text{Iso}(B)_0$, (that is, they do not satisfy the condition in eqn. (4.11)). Therefore these Abelian gaugings, while supersymmetric, are neither $\text{Spin}(\mathcal{N})$ invariant nor scale invariant (that is $\mathcal{L}_E K_A \neq 0$). So superconformal invariance gets broken in a quite rude way. In the context of M2 branes, this has been discussed in [34, 35].

This mechanism also explains one way out of a ‘no-go’ physical argument formulated in refs. [6, 33]. Let us recall the logic: Consider the class of \mathcal{N} -supersymmetric models which are obtained, *via* the duality of [26, 11], from $D = 3$ theories whose vectors have both F^2 canonical kinetic terms and Chern–Simons interactions. The gauge vectors get massive [36] and have (say) helicity $+1$. The \mathcal{N} -SUSY algebra has \mathcal{N} helicity lowering operators, so a massive vector supermultiplet should contain states with helicity λ

$$\lambda = 1, \frac{1}{2}, 0 \dots, 1 - \frac{\mathcal{N}}{2}. \quad (5.30)$$

In particular, for $\mathcal{N} \geq 4$, we have states with helicity -1 which are also massive vectors. Since (rigid) supersymmetry commutes with the gauge symmetry, all the above states transform in the same way under G , that is in the adjoint representation (which is the representation for the gauge vectors $\lambda = +1$). But, for $\mathcal{N} \geq 4$, we have also $\lambda = -1$ vectors in the supermultiplet, always in the adjoint representation. Thus the vectors transform according to (at least) *two* copies of the adjoint representation, but this is forbidden in a non-Abelian gauge theory where the vectors should form a *single* copy. Hence the paradox.

Above we have seen how Super–Yang–Mills cleverly avoids the paradox. The duality transformation which eliminates the F^2 kinetic terms also changes the gauge group

$$G \rightarrow G \times A, \quad (5.31)$$

with the effect of doubling the number of vectors. Both the generators of G and A transform in the adjoint representation of G , and hence the vector fields form precisely *two* copies of the adjoint representations of the *original* compact gauge group G , the only one which may be linearly realized on the spectrum/ S -matrix. If we add a Chern–Simons term to give mass, both copies of the adjoint representation will give rise to physical massive helicity ± 1 particles. So, the paradox is not really a paradox. It is just the magic of dualities and non-compact gaugings in $D = 3$.

6 Relation to Poincaré supergravity

In this final section, we show how the above results on the construction of superconformal sigma models can be obtained in a rather elegant way by taking particular truncations of three-dimensional (gauged) Poincaré supergravity. Evaluating the supergravity action with a particular truncation ansatz for the (off-shell) supergravity multiplet on a background that admits conformal Killing spinors, leads to theories with global supersymmetry which by construction are superconformal. The geometrical structure that was revealed in the direct construction above is directly induced by the geometry of the supergravity target spaces and their gaugings.

We first present the construction for the bosonic case (following [7]) and then extend the method to $\mathcal{N} = 1$ and $\mathcal{N} = 2$ supergravity. In the latter case, it turns out to be

necessary to start from off-shell supergravity. In some sense, this procedure amounts to an “inversion of the conformal program”, as we discuss in the introduction.

6.1 Bosonic case

We start from a gravity coupled sigma-model with scalar potential (the bosonic sector of a generic three-dimensional ungauged supergravity)

$$\mathcal{L}_0 = -\frac{1}{2}\sqrt{-g} \left(R^{(g)} + g^{\mu\nu} \partial_\mu \phi^i \partial_\nu \phi^j g_{ij}(\phi) + U(\phi) \right), \quad (6.1)$$

with space-time metric $g_{\mu\nu}$. Note that compared to standard gravity, we have chosen here the wrong sign for the Einstein-Hilbert term in the action. It is with this choice of sign, that we will obtain from (6.1) in the following a ghost-free action with global superconformal symmetry.

We now make the following ansatz for the space-time metric

$$g_{\mu\nu} = e^{2\varphi} h_{\mu\nu}, \quad (6.2)$$

where φ is a dilaton field and $h_{\mu\nu}$ is a fixed background metric which admits a conformal Killing vector ξ^μ , i.e. satisfies (2.1). The relation between the two metrics implies that

$$\begin{aligned} \Gamma_{\mu\nu}^\lambda(g) &= \Gamma_{\mu\nu}^\lambda(h) + 2\delta_{(\mu}^\lambda \partial_{\nu)} \varphi - h_{\mu\nu} h^{\lambda\tau} \partial_\tau \varphi, \\ R^{(g)} &= e^{-2\varphi} \left(R^{(h)} - 2\partial_\mu \varphi \partial^\mu - 4\nabla^\mu \partial_\mu \varphi \right), \end{aligned} \quad (6.3)$$

for the Christoffel symbols and the Ricci scalar, respectively. Under the particular diffeomorphism generated by the conformal Killing vector ξ^μ (2.1) of the metric $h_{\mu\nu}$, the metric $g_{\mu\nu}$ transforms as

$$\delta_\xi g_{\mu\nu} = (4\Omega + 2\xi^\lambda \partial_\lambda \varphi) g_{\mu\nu}. \quad (6.4)$$

This shows that combining this diffeomorphism with the transformation

$$\delta_\xi \varphi = \xi^\mu \partial_\mu \varphi + 2\Omega, \quad (6.5)$$

of the dilaton field, leaves the ansatz (6.2) invariant, i.e. implies that $\delta h_{\mu\nu} = 0$, in accordance with the role of $h_{\mu\nu}$ as a fixed background metric. Thus, evaluating the Lagrangian (6.1) with the particular ansatz (6.2) yields an action on a fixed background metric $h_{\mu\nu}$ which by construction is invariant under the conformal transformations

$$\delta \phi^i = \xi^\mu \partial_\mu \phi^i, \quad \delta \varphi = \xi^\mu \partial_\mu \varphi + 2\Omega, \quad (6.6)$$

as a consequence of the diffeomorphism invariance of the original action (6.1). Explicitly, plugging (6.2) into (6.1) leads to

$$\mathcal{L}_0 = -\frac{1}{2}\sqrt{-h} \left(r^2 R^{(h)} + h^{\mu\nu} \left(8\partial_\mu r \partial_\nu r + r^2 \partial_\mu \phi^i \partial_\nu \phi^j g_{ij}(\phi) \right) + r^6 U(\phi) \right), \quad (6.7)$$

where we have defined $e^\varphi \equiv r^2$. We see, that (upon rescaling of the target space metric and potential) this construction precisely reproduces the conformal action (2.6) with potential of the form (2.9) and cone metric (2.4), the base of the cone being the target space of the gravity coupled sigma-model (6.1). Moreover, it is straightforward to see that starting from a gauged sigma-model in the gravitational action (6.1) the same procedure leads to a conformal gauged sigma-model in (6.7) in which only isometries of the base manifold of the cone are gauged. This shows that all bosonic conformal sigma-models in three dimensions found above by direct construction can be obtained by this procedure.

6.2 $\mathcal{N} = 1$ supergravity

We now extend this construction to the supersymmetric case. The general three-dimensional $\mathcal{N} = 1$ (gauged) supergravity Lagrangian has been given in [11]. Here, we will start from an off-shell version of the ungauged theory [37, 38, 39]. The extension to gaugings is straightforward. The action is given by

$$\mathcal{L}_{\text{off-shell}}^{\mathcal{N}=1} = -\mathcal{L}_{\text{sugra}}^{\mathcal{N}=1} + \mathcal{L}_{\text{matter}}^{\mathcal{N}=1} + \mathcal{L}_F^{\mathcal{N}=1}, \quad (6.8)$$

with

$$\begin{aligned} E^{-1} \mathcal{L}_{\text{sugra}}^{\mathcal{N}=1} &= \frac{1}{2} R - \frac{1}{2} \bar{\psi}_\mu \gamma^{\mu\nu\rho} D_\nu \psi_\rho - S^2, \\ E^{-1} \mathcal{L}_{\text{matter}}^{\mathcal{N}=1} &= -\frac{1}{2} \partial^\mu \phi^i \partial_\mu \phi^j g_{ij} - \frac{1}{2} \bar{\chi}_i \gamma^\mu D_\mu \chi^i + \frac{1}{2} \bar{\chi}_i \gamma^\mu \gamma^\nu \psi_\mu \partial_\nu \phi^i + \frac{1}{2} f_i f^i + \frac{1}{4} S \bar{\chi}_i \chi^i, \\ E^{-1} \mathcal{L}_F^{\mathcal{N}=1} &= \frac{1}{2} F(\phi) \bar{\psi}_\mu \gamma^{\mu\nu} \psi_\nu + \partial_i F(\phi) \bar{\psi}_\mu \gamma^\mu \chi^i - D_i \partial_j F(\phi) \bar{\chi}^i \chi^j + 4SF - 2f^i \partial_i F, \end{aligned}$$

where $E = |\det E_\mu{}^r|$ now refers to the determinant of the vielbein associated with $g_{\mu\nu}$, the covariant derivative is defined as $D_\mu \chi^i \equiv \nabla_\mu \chi^i + \Gamma_{mn}^i(\phi) \partial_\mu \phi^m \chi^n$, and the function $F = F(\phi)$ is a real superpotential. Like in the bosonic case, we must choose the wrong sign for the supergravity part of Lagrangian, in order to obtain a ghost-free globally supersymmetric action in the following. As we start from an off-shell result, the above action is supersymmetric for either choice of sign of $\mathcal{L}_{\text{sugra}}^{\mathcal{N}=1}$.

The local $\mathcal{N} = 1$ supersymmetry transformation rules are given by

$$\begin{aligned} \delta E_\mu{}^r &= \frac{1}{2} \bar{\varepsilon} \gamma^r \psi_\mu, \\ \delta \psi_\mu &= D_\mu \varepsilon + \frac{1}{2} S \gamma_\mu \varepsilon, \\ \delta S &= \frac{1}{8} \bar{\varepsilon} \gamma^{\mu\nu} \psi_{\mu\nu} - \frac{1}{4} S \bar{\varepsilon} \gamma^\mu \psi_\mu, \\ \delta \phi^i &= \frac{1}{2} \bar{\varepsilon} \chi^i, \\ \delta \chi^i &= \frac{1}{2} \gamma^\mu \varepsilon \partial_\mu \phi^i - \frac{1}{2} f^i \varepsilon, \\ \delta f^i &= -\frac{1}{2} \bar{\varepsilon} \gamma^\mu D_\mu \chi^i + \frac{1}{4} \bar{\varepsilon} \gamma^\mu \gamma^\nu \psi_\mu \partial_\nu \phi^i + \frac{1}{4} S \bar{\varepsilon} \chi^i - \frac{1}{4} \bar{\varepsilon} \gamma^\mu \psi_\mu f^i, \end{aligned} \quad (6.9)$$

where $\psi_{\mu\nu} = 2D_{[\mu} \psi_{\nu]}$. Next we study the emergence of a SCFT from this theory. We start from a background metric $h_{\mu\nu} = \eta_{rs} e_\mu{}^r e_\nu{}^s$ that admits a conformal Killing

spinor (3.4)

$$\nabla_\mu \epsilon = \frac{1}{2} \gamma_\mu \eta, \quad (6.10)$$

and accordingly also a conformal Killing vector ξ^μ , satisfying (3.5). For the fields of $\mathcal{N} = 1$ off-shell supergravity (6.8), we generalize (6.2) to the following ansatz for the vielbein and gravitino

$$\begin{aligned} E_\mu^r &= e^\varphi e_\mu^r, \\ \psi_\mu &= e^{\varphi/2} e_\mu^r \gamma_r \lambda. \end{aligned} \quad (6.11)$$

In particular, this implies the relation

$$\omega_\mu^{rs}(E) = \omega_\mu^{rs}(e) + 2e_\mu^{[r} \partial^{s]} \varphi, \quad (6.12)$$

between the spin connections of E_μ^r and e_μ^r . Under the combination of a diffeomorphism with the conformal Killing vector ξ^μ and a Lorentz transformation with parameter $\Lambda_{rs} = -e_{[r}^\mu \nabla_\mu \xi_{s]} - \xi^\mu \omega_\mu^{rs}(e)$, the supergravity vielbein transforms as

$$(\delta_\xi + \delta_\Lambda) E_\mu^r = e^\varphi \mathcal{L}_\xi e_\mu^r + \xi^\nu \partial_\nu \varphi E_\mu^r - \Lambda^r_s E_\mu^s \equiv \delta\varphi E_\mu^r, \quad (6.13)$$

which is compatible with (6.5) assuming that the background metric $h_{\mu\nu}$ does not transform. From the action of the same combination of diffeomorphism and Lorentz transformation on the gravitino we find that also the ansatz for the gravitino in (6.11) is consistent and implies

$$\begin{aligned} \delta\varphi &= \xi^\mu \partial_\mu \varphi + 2\Omega, \\ \delta\lambda &= \xi^\mu \nabla_\mu \lambda + \frac{1}{4} \nabla_r \xi_s \gamma^{rs} \lambda + \Omega \lambda. \end{aligned} \quad (6.14)$$

Moreover, the supersymmetry transformations (6.9) with the particular choice of parameter

$$\varepsilon = e^{\varphi/2} \epsilon, \quad (6.15)$$

with the conformal Killing spinor ϵ from (6.10), when combined with a Lorentz transformation with parameter $\Lambda_{rs} = \frac{1}{2} \bar{\epsilon} \gamma_{rs} \lambda$ are compatible with the ansatz (6.11) provided the fields φ and λ transform as

$$\begin{aligned} \delta\varphi &= \frac{1}{2} \bar{\epsilon} \lambda, \\ \delta\lambda &= \frac{1}{2} \gamma^\mu \epsilon \partial_\mu \varphi + \frac{1}{2} e^\varphi S \epsilon + \frac{1}{2} \eta. \end{aligned} \quad (6.16)$$

Thus, analogous to the bosonic case, evaluating the Lagrangian (6.1) with the particular ansatz (6.11) yields an action on a fixed background metric $h_{\mu\nu}$ which by construction is invariant under the conformal and superconformal transformations (6.14), (6.16), extended by the corresponding transformations of the matter and auxiliary fields, that

are obtained from evaluating (6.9) on the ansatz (6.11). Plugging the ansatz (6.11) into the off-shell action (6.8) we obtain after some calculation

$$\begin{aligned}
e^{-1}\mathcal{L} &= -\frac{1}{2}r^2R - 4\partial_\mu r\partial^\mu r - \frac{1}{2}r^2\partial_\mu\phi^i\partial^\mu\phi^j g_{ij}(\phi) + \frac{1}{2}r^6(f^i f_i + 2S^2) \\
&\quad - r^2\bar{\lambda}\gamma^\mu D_\mu\lambda - \frac{1}{2}r^4\bar{\chi}_i\gamma^\mu D_\mu\chi^i - \frac{1}{2}r^3\bar{\chi}_i\gamma^\mu\lambda\partial_\mu\phi^i + \frac{1}{4}r^6S\bar{\chi}^i\chi_i \\
&\quad + r^6(4SF - 2f^i\partial_i F) - 3r^4F\bar{\lambda}\lambda - 3r^5\bar{\lambda}\chi^i\partial_i F - r^6\bar{\chi}^i\chi^j D_i\partial_j F, \quad (6.17)
\end{aligned}$$

with $e^\varphi = r^2$. By construction, this action is invariant under the following superconformal transformations

$$\begin{aligned}
\delta_{\text{sc}}r &= \frac{1}{4}r\bar{\epsilon}\lambda, \\
\delta_{\text{sc}}\lambda &= r^{-1}\partial_\mu r\gamma^\mu\epsilon + \frac{1}{2}r^2 S\epsilon + \frac{1}{2}\eta, \\
\delta_{\text{sc}}S &= \frac{1}{2}r^{-2}\bar{\epsilon}\gamma^\mu D_\mu\lambda + \frac{1}{2}r^{-3}\bar{\epsilon}\gamma^\mu\lambda\partial_\mu r - \frac{3}{4}\bar{\epsilon}\lambda S, \quad (6.18)
\end{aligned}$$

and

$$\begin{aligned}
\delta_{\text{sc}}\phi^i &= \frac{1}{2}r\bar{\epsilon}\chi^i, \\
\delta_{\text{sc}}\chi^i &= \frac{1}{2}r^{-1}\gamma^\mu\epsilon\partial_\mu\phi^i - \frac{1}{2}r\epsilon f^i, \\
\delta_{\text{sc}}f^i &= -\frac{1}{2}r^{-1}\bar{\epsilon}\gamma^\mu D_\mu\chi^i - \frac{1}{4}r^{-2}\bar{\epsilon}\gamma^\mu\lambda\partial_\mu\phi^i - r^{-2}\bar{\epsilon}\gamma^\mu\chi^i\partial_\mu r \\
&\quad - \frac{3}{4}\bar{\epsilon}\lambda f^i + \frac{1}{4}r\bar{\epsilon}\chi^i S. \quad (6.19)
\end{aligned}$$

This construction gives the off-shell version of the $\mathcal{N} = 1$ superconformal sigma-model. It is straightforward to check that upon integrating out the auxiliary fields by virtue of their field equations

$$S = -2F - \frac{1}{8}\bar{\chi}_i\chi^i, \quad f^i = 2g^{ij}\partial_j F, \quad (6.20)$$

we precisely reproduce the Lagrangian (3.13) obtained above.¹³ Moreover, as in the bosonic case it is straightforward to see how the procedure extends to the gaugings. In supergravity, any subgroup of isometries of the scalar target space can be gauged upon introduction of an additional Yukawa term [11]. Working through the same procedure then extends (6.18) to an off-shell version of the gauged $\mathcal{N} = 1$ superconformal sigma-model (5.1), in which only isometries of the base manifold of the cone are gauged.

6.3 $\mathcal{N} = 2$ supergravity

Here, we extend the procedure to $\mathcal{N} = 2$. As in the lower \mathcal{N} cases discussed above, the main ingredient in the construction is a consistent truncation ansatz for the supergravity multiplet, which allows to pass from Poincaré supergravity to a theory with global superconformal symmetry. In order to illustrate this structure for $\mathcal{N} = 2$, we restrict the discussion to the off-shell supergravity multiplet, the extension to matter couplings is straightforward. In 3D the off-shell supergravity multiplet consists of the

¹³upon rescaling $\lambda \rightarrow 2\lambda$ $\epsilon \rightarrow 2\epsilon$ $g_{ij} \rightarrow 8g_{ij}$, $\mathcal{L} \rightarrow 8\mathcal{L}$, $F \rightarrow 4F$.

fields $(e_\mu^a, \psi_\mu, A_\mu, u)$ where the gravitino is a Dirac spinor, and the real vector field A_μ and the complex scalar u are the auxiliary fields. The Lagrangian takes the form [40]

$$E^{-1}\mathcal{L} = -\frac{1}{2}R + \frac{1}{2}(\bar{\psi}_\mu \gamma^{\mu\nu\rho} D_\nu \psi_\rho + \text{c.c.}) + |u|^2 - A_\mu A^\mu, \quad (6.21)$$

where for the same reasons as above we have chosen the wrong global sign. Off-shell supersymmetry transformations of (6.21) are given by

$$\begin{aligned} \delta E_\mu{}^r &= \frac{1}{2}\bar{\varepsilon}\gamma^r\psi_\mu - \frac{1}{2}\bar{\psi}_\mu\gamma^r\varepsilon, \\ \delta\psi_\mu &= D_\mu\varepsilon - \frac{i}{2}A_\nu\gamma^\nu\gamma_\mu\varepsilon + \frac{1}{2}u\gamma_\mu(B\varepsilon)^*, \\ \delta u &= \frac{1}{4}\tilde{\varepsilon}\gamma^{\mu\nu}\hat{\psi}_{\mu\nu}, \\ \delta A_\mu &= \frac{i}{8}\bar{\varepsilon}\gamma^{\nu\rho}\gamma_\mu\hat{\psi}_{\nu\rho} + \text{c.c.}, \end{aligned} \quad (6.22)$$

where $\tilde{\varepsilon} \equiv \overline{(B\varepsilon)^*}$ (cf. appendix A) and

$$\hat{\psi}_{\mu\nu} \equiv 2D_{[\mu}\psi_{\nu]} - iA_\rho\gamma^\rho\gamma_{[\mu}\psi_{\nu]} + u\gamma_{[\mu}(B\psi_{\nu]})^*. \quad (6.23)$$

As above, we need to specify a consistent truncation ansatz for the fields of the supergravity multiplet. The following turns out to be the correct generalization of (6.11)

$$\begin{aligned} E_\mu{}^r &= e^\varphi e_\mu{}^r, \\ \psi_\mu &= e^{(\varphi+i\tau)/2}\gamma_\mu\lambda, \\ A_\mu &= \frac{1}{2}\partial_\mu\tau - \frac{1}{2}i\bar{\lambda}\gamma_\mu\lambda. \end{aligned} \quad (6.24)$$

Again, this ansatz is stable under diffeomorphisms with the conformal Killing vector ξ^μ of the background metric $h_{\mu\nu} = e_\mu{}^r e_\nu{}^s \eta_{rs}$ (upon a compensating Lorentz transformation) provided that the fields transform as

$$\begin{aligned} \delta_c\varphi &= \xi^\mu\partial_\mu\varphi + 2\Omega, \\ \delta_c\tau &= \xi^\mu\partial_\mu\tau, \\ \delta_c\lambda &= \xi^\mu\nabla_\mu\lambda + \frac{1}{4}e_r{}^\mu e_{s\nu}\nabla_\mu\xi^\nu\gamma^{rs}\lambda + \Omega\lambda. \end{aligned} \quad (6.25)$$

The real nontrivial check for the ansatz (6.24) is that it is also stable under the particular supersymmetry transformations

$$\varepsilon = e^{(\varphi+i\tau)/2}\epsilon, \quad (6.26)$$

where ϵ is a complex conformal Killing spinor of the background metric $h_{\mu\nu}$. Let us as an example consider the transformation of the auxiliary field A_μ . Supersymmetry (6.22) together with the ansatz (6.24) implies that

$$\begin{aligned} \delta A_\mu &= \frac{i}{8}\bar{\varepsilon}\gamma^{\nu\rho}\gamma_\mu\left(\gamma_\rho\lambda\partial_\nu(\varphi+i\tau) + 2\gamma_\rho D_\nu\lambda + \gamma_{\nu\sigma}\gamma_\rho\lambda\partial^\sigma\varphi - \frac{1}{2}i\gamma^\sigma\gamma_{\nu\rho}\lambda\partial_{\sigma\tau}\right. \\ &\quad \left. + ue^{\varphi-i\tau}\gamma_{\nu\rho}B^*\lambda^*\right) + \text{c.c.} \end{aligned} \quad (6.27)$$

Upon some gamma-matrix algebra¹⁴ and the conformal spinor relation (3.4), this variation can be rewritten as

$$\delta A_\mu = -\frac{1}{2}i\partial_\mu(\bar{\epsilon}\lambda) + \frac{1}{4}i\bar{\epsilon}\gamma_\nu\gamma_\mu\lambda\partial^\nu(\varphi + \frac{1}{2}i\tau) - \frac{1}{4}iu^*e^{\varphi+i\tau}\tilde{\epsilon}\gamma_\mu\lambda - \frac{1}{4}i\bar{\eta}\gamma_\mu\lambda + \text{c.c.} \quad (6.28)$$

which is manifestly compatible with the ansatz (6.24), such that the transformations of τ and λ can be determined from

$$\delta A_\mu = \frac{1}{2}\partial_\mu\delta\tau - \frac{1}{2}(i\bar{\lambda}\gamma_\mu\delta\lambda + \text{c.c.}) . \quad (6.29)$$

A similar calculation for the variation of ψ_μ , $E_\mu{}^r$ and u determines the transformation rules of the remaining fields. In total, we find that the ansatz (6.24) is stable under the supersymmetry transformations (6.26), provided the parametrizing fields transform as

$$\begin{aligned} \delta_{\text{sc}}(\varphi + \frac{1}{2}i\tau) &= \bar{\epsilon}\lambda , \\ \delta_{\text{sc}}\lambda &= \frac{1}{2}\gamma^\mu\epsilon\partial_\mu(\varphi + \frac{1}{2}i\tau) + \frac{1}{2}e^{\varphi-i\tau}u(B\epsilon)^* + \frac{1}{2}\eta , \\ \delta_{\text{sc}}u &= e^{-\varphi+i\tau}\tilde{\epsilon}\left[\gamma^\mu D_\mu\lambda + \frac{1}{2}\gamma^\mu\lambda\partial_\mu(\varphi + \frac{1}{2}i\tau)\right] . \end{aligned} \quad (6.30)$$

Having established consistency of the ansatz (6.24), as for the models with lower supersymmetry above, evaluating the off-shell action (6.21) for the ansatz (6.24) yields an action which by construction is invariant under the superconformal transformations (6.30). Explicitly, we obtain

$$\begin{aligned} e^{-1}\mathcal{L} &= -\frac{1}{2}r^2R - 4\partial_\mu r\partial^\mu r - r^2\partial_\mu\tau\partial^\mu\tau + r^6|u|^2 \\ &\quad - r^2(\bar{\lambda}\gamma^\mu D_\mu\lambda + \text{c.c.}) - \frac{1}{2}ir^2\bar{\lambda}\gamma^\mu\lambda\partial_\mu\tau . \end{aligned} \quad (6.31)$$

Upon integrating out u and a rescaling similar to the $\mathcal{N} = 1$ case, this reproduces the Lagrangian (3.14) truncated to $\phi^i = 0$, cf. (3.20). Repeating the construction described in this section for the general matter coupled $\mathcal{N} = 2$ supergravity, reproduces the full Lagrangian (3.14) with the ϕ^i parametrizing the supergravity target space. Likewise, extending the construction to gauged $\mathcal{N} = 2$ supergravity straightforwardly reproduces the action (5.23). By construction, the resulting gauge group then is a subgroup of the supergravity isometries, thus of $\text{Iso}(B)_0$.

In a similar construction for the $\mathcal{N} = 3$ case, we expect that the metric conformal mode r , together with 3 scalars to arise from the $Sp(1)$ valued three auxiliary vector fields of $\mathcal{N} = 3$ (which originate from the Weyl multiplet) and the QK manifold scalars present in the supergravity theory, should build up the desired HCK according to [18, 17].

¹⁴using relations like: $\gamma^{\mu\nu}\gamma_{\mu\rho}\gamma_\nu = 0$, $\gamma^{\mu\nu}\gamma_\rho\gamma_\mu = 2\delta_\rho^\nu$, $\gamma^{\sigma\tau}\gamma_\mu\gamma_\nu\gamma_\sigma\gamma_\tau = 2\gamma_{\mu\nu} - 6g_{\mu\nu}$, $\gamma^{\sigma\tau}\gamma_\mu\gamma_\sigma\gamma_\tau = -2\gamma_{\mu\nu} + 4g_{\mu\nu}$.

7 Conclusions

In conclusion, we have seen that a supersymmetric Chern–Simons–matter model, in order to be \mathcal{N} –superconformal, should: *i*) have a target space \mathcal{M} which is a \mathcal{N} –Kählerian cone (namely a cone over an \mathcal{N} –Sasakian manifold); *ii*) (for $\mathcal{N} \leq 2$) have a superpotential with the right scaling with respect to the Euler vector of the cone; *iii*) have a compatible gauging, that is the gauge group is a subgroup of $\text{Iso}(B)_0$ and the T –tensor satisfies the algebraic condition $T|_{\boxplus} = 0$, which is spelled out in (5.14) (this is a non-trivial condition only for $\mathcal{N} \geq 4$). Once these conditions are fulfilled, we have shown that the Lagrangian and supersymmetry transformations are simply those of the $\mathcal{N} = 1$ case with the real superpotential \mathcal{F} set equal to T^{MM} (for any choice of M). Moreover, we have constructed T^{MM} by using the momentum maps μ^{MNm} , for which we have given concrete expressions, see eqn. (4.19).

We have moreover shown the emergence of the (super) CFTs from a suitable rigid limit of Chern–Simons–matter supergravities in $D = 3$ [11, 41]. In particular, we have exhibited in detail this limiting procedure in pure gravity, and in off-shell formulations of $\mathcal{N} = 1$ and $\mathcal{N} = 2$ supergravities, in which we have shown that the auxiliary fields play an important role in the description of the resulting sigma models with underlying conic geometries. In particular, the extra scalar fields that enhance the supergravity target space to the target space of the superconformal sigma model descend from particular modes of the off-shell supergravity multiplet. A different rigid limit of three-dimensional Chern–Simons–matter supergravities has been analyzed in [42, 43] in which the supergravity sigma-model target spaces generically flatten out preserving their dimension. In contrast, the limit described in this paper gives rise to a curved conic target space geometry which contains the supergravity target space as a particular subspace of the base manifold. The conditions on the gauge group then arise automatically from the corresponding conditions in supergravity and the limit procedure.

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Appendix

A Complex spinor conventions

The three-dimensional space-time metric has signature $(-++)$. The gamma matrices satisfy the Clifford algebra $\{\gamma^\mu, \gamma^\nu\} = 2h^{\mu\nu}$ and obey the identities

$$(\gamma^\mu)^\dagger = \gamma_0 \gamma^\mu \gamma_0, \quad (\gamma^\mu)^T = -C \gamma^\mu C^{-1}, \quad (\gamma^\mu)^* = B \gamma^\mu B^{-1}, \quad (\text{A.1})$$

where $C^T = -C$ is the charge conjugation matrix, $B^T = B$ and $B^\dagger B = 1$. It follows that $B^* B = 1$. Consistent with these, we work with $C^\dagger C = 1$ and $C = B \gamma_0$. We then have $C^* C = -1$. In general, we use Majorana spinors, i.e. we impose the reality condition $\psi^* = B \psi$. For the $\mathcal{N} = 2$ model, we prefer to use complex notation, i.e. the spinor fields are two-component Dirac spinors. The Dirac conjugate is defined as

$$\bar{\psi}^{\bar{\alpha}} = (\psi^\alpha)^\dagger i \gamma_0, \quad (\text{A.2})$$

such that $G_{\alpha\bar{\alpha}} \bar{\psi}^{\bar{\alpha}} \psi^\alpha$ is a (real) Lorentz scalar. Note that the indices $\alpha, \bar{\alpha}$ are associated with the complex coordinates $(\Phi^\alpha, \Phi^{*\bar{\alpha}})$ used in Section 3.3, and that the spinor indices are suppressed.

For Dirac spinors there is an alternative definition of the conjugate by

$$\tilde{\psi}^\alpha = \overline{(B \psi^\alpha)^*}, \quad (\text{A.3})$$

which gives rise to a second bilinear invariant

$$\tilde{\psi}^\alpha \psi^\beta = i (\psi^T)^\alpha C \psi^\beta \quad \text{and} \quad \tilde{\psi}^{\bar{\alpha}} \psi^{\bar{\beta}} = i (\psi^T)^{\bar{\alpha}} C^{-1} \psi^{\bar{\beta}}, \quad (\text{A.4})$$

where $\psi^{\bar{\alpha}} = (\psi^\alpha)^*$ and the Dirac spinor indices have been suppressed.

The symplectic indices are raised and lowered as

$$\psi^a = \Omega^{ab} \psi_b, \quad \psi_a = \psi^b \Omega_{ba}, \quad \Omega_{ab} \Omega^{bc} = -\delta_a^c, \quad (\text{A.5})$$

and similarly for fields carrying the $SU(2)$ doublet indices $A = 1, 2$.

B The $\mathfrak{spin}(\mathcal{N})$ matrices $(\Sigma^{MN})_{AB}$

The $\mathfrak{spin}(\mathcal{N})$ matrices $\Sigma^{MN} = -\Sigma^{NM}$ are defined as

$$\Sigma^{0I} = -\Sigma^{I0} = \Gamma^I \quad (\text{B.1})$$

$$\Sigma^{IJ} = \frac{1}{2} (\Gamma^I \Gamma^J - \Gamma^J \Gamma^I) \quad \text{for } I, J = 1, 2, \dots, \mathcal{N} - 1, \quad (\text{B.2})$$

where the Γ^I are the Dirac matrices generating the Euclidean Clifford algebra $\mathbb{C}l(\mathcal{N}-1)$ in $(\mathcal{N}-1)$ dimensions:

$$\Gamma^I \Gamma^J + \Gamma^J \Gamma^I = -2 \delta^{IJ} \quad I, J = 1, 2, \dots, \mathcal{N} - 1. \quad (\text{B.3})$$

The matrices Γ^I are real, and being anti-Hermitian, antisymmetric. Then the Σ^{MN} are also real antisymmetric. Moreover each matrix Σ^{MN} has square equal to -1 . More generally, they satisfy the Clifford relations

$$\begin{aligned} \Sigma^{MN}\Sigma^{PQ} = & (\delta^{NP}\delta^{MQ} - \delta^{MP}\delta^{NQ}) \mathbf{1} + \\ & + \delta^{MP}\Sigma^{NQ} - \delta^{MQ}\Sigma^{NP} - \delta^{NP}\Sigma^{MQ} + \delta^{NQ}\Sigma^{MP} + \Sigma^{MNPQ}, \end{aligned} \quad (\text{B.4})$$

corresponding to the Clifford multiplication in $\mathbb{C}l_0(\mathcal{N} - 1)$. Here Σ^{MNPQ} is the totally antisymmetrized product of the Γ^M (with $\Gamma^{\mathcal{N}}$ identified with 1).

C Geometry of conformal Killing spinors

In this appendix we address the question of which three-dimensional (pseudo)Riemannian spaces M admit conformal Killing spinors (CKS), that is solutions (ϵ, η) to the equation

$$D_\mu \epsilon = \gamma_\mu \eta. \quad (\text{C.1})$$

Notice that, in our definition, an ordinary Killing spinor is a special case of a conformal Killing spinor.

Let $N(g)$ be the number of linear independent CKS on the manifold M equipped with the Riemannian metric g . $N(g)$ depends only on the *conformal class* $[g]$ of the metric g . Indeed, if (ϵ, η) is a CKS for the metric g ,

$$(\tilde{\epsilon}, \tilde{\eta}) \equiv \left(e^{\phi/2} \epsilon, e^{\phi/2} \left(\eta + \frac{1}{2} \gamma^\mu \partial_\mu \phi \epsilon \right) \right) \quad (\text{C.2})$$

is a CKS for the conformally equivalent metric $\tilde{g} = e^{2\phi} g$.

C.1 Local solutions

We begin by discussing $N([g])_{\text{local}}$, that is the number of *local* solutions to the CKS equation (C.1) in a neighborhood of a point. In [25] it was shown that, in *Lorentzian* signature:

1. $N(g)_{\text{local}} = 4$ if and only if M is conformally flat;
2. $N(g)_{\text{local}} = 1$ if and only if M is locally conformally equivalent to a *pp*-wave metric

$$ds^2 = dx^+ dx^- + f(x^+, y)(dx^+)^2 + dy^2; \quad (\text{C.3})$$

3. $N(g)_{\text{local}} = 0$ in all other cases.

In some cases, the *pp*-wave may be seen as a ‘degenerate limit’ of a conformally flat space in the following sense: the Lorentzian conformally-flat spaces are modelled on the AdS_3 space (see below) and the supersymmetric *pp*-waves arise as Penrose limits

of AdS_3 [44]. Notice that the CKS in the metric (C.3) is parallel, hence an ordinary Killing spinor (that is $\eta = 0$) [25].

In *Euclidean* signature the local result is simpler:

1. $N(g)_{\text{local}} = 4$ if and only if M is conformally flat;
2. $N(g)_{\text{local}} = 0$ otherwise.

To understand these results, recall that in $d = 3$ a metric g is conformally flat if and only if its Cotton tensor,

$$C_{\nu\mu\rho} := D_\rho \left(R_{\mu\nu} - \frac{1}{4} g_{\mu\nu} R \right) - D_\mu \left(R_{\rho\nu} - \frac{1}{4} g_{\rho\nu} R \right), \quad (\text{C.4})$$

vanishes identically. In ref.[25] it was shown that the local integrability condition for the CKS equation (C.1) is (in any space–time signature)

$$C_{\nu\mu\rho} \gamma^\nu \epsilon = 0. \quad (\text{C.5})$$

If $\epsilon \neq 0$ this algebraic equation implies that, for all vectors X^μ, Y^μ , the vector $C_{\nu\mu\rho} X^\mu Y^\rho$ is *null*. In Euclidean signature all null vectors vanish, so $C_{\nu\mu\rho} \equiv 0$. In Minkowski signature, a non–zero vector may be null. In this case the matrix $C_{\nu\mu\rho} X^\mu Y^\rho$ has precisely *one* zero eigenvalue, and since ϵ is a zero eigenvector, we may have at most one linearly independent CKS if $C_{\nu\mu\rho} \neq 0$. The case $N(g)_{\text{local}} = 1$ corresponds to spaces locally conformal to *pp*–waves [25].

The above result allows an explicit construction of all the *local* solutions to the CKS equation. For simplicity, here we limit ourselves to the conformally flat case¹⁵ (the only one in Euclidean signature). Since the metric is conformally flat, there exist local coordinates in which the metric g takes the form $e^{2\phi} \eta_{\mu\nu} dx^\mu dx^\nu$; in each such coordinate patch we can use eqn. (C.2) to map the CKS's to the CKS of flat space. Then the general local solution to the CKS equation in the conformally flat metric $g_{\mu\nu} = e^{2\phi} \eta_{\mu\nu}$ is

$$\epsilon = e^{\phi/2} (x^\mu \gamma_\mu \epsilon_1 + \epsilon_2) \quad \epsilon_1, \epsilon_2 \text{ constant spinors.} \quad (\text{C.6})$$

However, these four local solutions need *not* to extend to global conformal Killing spinors. Given a conformally flat manifold, in general we get $N(g) \leq 4$ CKS, the actual number depending on how many of the four local solutions have a global extension. For instance, the 3–torus $S^1 \times S^1 \times S^1$ with the usual flat metric is certainly conformally flat, but it has $N((S^1)^3) = 2$, since only the local solutions (C.6) with $\epsilon_1 = 0$ are globally univalued on the torus (the two surviving CKS correspond to the two parallel spinors of the flat connection).

We need to discuss the global topological properties which must be fulfilled in order to get well–defined global CKS. To do this it is convenient to introduce the conformal counterpart of the usual Riemannian normal coordinates.

¹⁵ Sometimes the *pp*–case may be reduced to this one by taking the Penrose limit.

C.2 Conformal normal coordinates

Let $\eta_{\mu\nu}$ ($\mu, \nu = 1, 2, 3$) be the flat metric for the given signature (p, q) of spacetime. Consider the following quadric in projective four-dimensional space

$$Q: \quad \eta_{\mu\nu} X^\mu X^\nu - 2X^0 X^4 = 0, \quad (\text{C.7})$$

and let \tilde{Q} be its universal cover. $\tilde{Q} = S^3$ in Euclidean signature and $\tilde{Q} = \widetilde{AdS}_3$ in the Minkowski one.

\tilde{Q} has a natural ‘round’ metric g_{can} , of signature (p, q) , on which the group of projective rotations $SO(p+1, q+1)$ acts by conformal symmetries.

Let M be a complete *conformally-flat* manifold of signature (p, q) . One can show [45] that there is a open set $U \subset \tilde{Q}$ and a map

$$\varphi: U \rightarrow M, \quad (\text{C.8})$$

such that:

1. φ is surjective;
2. in the neighborhood of each point $p \in M$, φ is a local diffeomorphism, hence it defines local coordinates (conformal normal coordinates);
3. $\varphi^* g = e^{-2\omega} g_{\text{can}}$, for some function ω . *I.e.* φ is a *conformal map*;
4. φ is unique up to a global $SO(p+1, q+1)$ rotation.

However φ is not one-to-one globally. Many points of $U \subset \tilde{Q}$ may be mapped to the same point of M .

C.3 Global solutions

Locally, in the normal conformal coordinates, the solutions to the CKS equation are simply

$$\epsilon_{\text{local}} = e^{\omega/2} (\varphi^{-1})^* \epsilon_Q, \quad (\text{C.9})$$

where ϵ_Q are the canonical CKS on the quadric \tilde{Q} (constructed out of the Maurer–Cartan forms for $SO(p+1, q+1)$), compare with eqn. (C.6)). In writing eqn. (C.9) we used the fact that φ is a local diffeomorphism, so the map φ^{-1} is locally defined.

However, φ^{-1} is not globally defined (in general) since φ is *many-to-one* in the large. Then the inverse map φ^{-1} has many distinct branches. The global CKS are precisely those local solutions (C.9) for which the different branches of φ^{-1} agree. We have already seen an example of this phenomenon at the end of the previous subsection. The local solutions to the CKS equation on a flat 3-torus are $x^\mu \gamma_\mu \epsilon_1 + \epsilon_2$; the map $\varphi: \mathbb{R}^3 \subset S^3 \rightarrow (S^1)^3$ being given by $\vec{x} \mapsto \vec{x} \bmod \mathbb{Z}^3$. A point $\vec{x} \in (S^1)^3$ has many preimages, $(\vec{x} + \vec{n})$, and the difference between the pull-backs *via* different branches of

φ^{-1} , namely $(\vec{n} - \vec{m}) \cdot \vec{\gamma}\epsilon_1$, vanishes precisely if $\epsilon_1 = 0$. Thus we get two global CKS rather than four.

In the Euclidean case, we have the maximum number of conformal Killing spinors, namely 4, when the map φ is a diffeomorphism (that is *one-to-one*). The Lorentzian case is slightly subtler since the quadrics $Q = AdS_3$ is not simply connected. Thus the criterion for $N(g)_{\text{global}} = 4$ is that the inverse map φ^{-1} exists and induces a covering map of a domain of AdS_3 .

In conclusion, we have $N(g)_{\text{global}} = 4$ if:

1. In Euclidean signature:

- (a) M is a conformal sphere;
- (b) M is conformal to an open domain U in S^3 ;

2. In Lorentzian signature:

- (a) M is conformally equivalent to one of the (infinitely many) covers of the AdS_3 space;
- (b) M is conformal to an open domain in one of the above.

An example of (2b) is the Minkowski spacetime, while examples of (1b) are \mathbb{R}^3 and \mathbb{H}^3 with metrics conformal to the usual constant curvature ones. In these (1b) cases, the metric (if complete) takes the form

$$ds^2 = \frac{d\vec{x} \cdot d\vec{x}}{f(\vec{x})^2}, \tag{C.10}$$

where $f(\vec{x})$ is a positive function on the domain $U \subset \mathbb{R}^3$ which vanishes on the boundary ∂U . On U we have four solutions to the CKS equation, namely $f^{-1/2}(x^\mu \gamma_\mu \epsilon_1 + \epsilon_2)$ (ϵ_1, ϵ_2 constant spinors).

As a word of caution about the manifolds (C.10) (and their Minkowski counterparts), we stress that, although in these geometries we have four linearly independent solutions to the CKS equation, it is *not true*, in general, that all four CKS may be used to generate superconformal symmetries of a sensible QFT living on M . Indeed, to define a QFT we need to impose boundary conditions on ∂M and only the CKS's which respect these boundary conditions are true superconformal invariances of the physical theory. Thus $N(g)_{\text{physical}} \leq N(g)_{\text{global}}$.

The manifolds M with less than the maximal number of global CKS's are less easy to classify. We know only partial results for the Euclidean case. M should be conformally flat; then in the compact, simply-connected, Euclidean signature geometry we may invoke the Kuiper theorem [45]: *A conformally flat compact simply connected Riemannian manifold is conformally equivalent to the canonical sphere*. Hence, in the simply-connected case, a compact manifold admitting non-trivial conformal Killing

spinors is conformally equivalent to S^3 with the round metric, and there are no manifolds with $1 \leq N(g)_{\text{global}} \leq 3$.

There are, however, interesting examples of (Euclidean) *non*-simply connected conformally-flat compact 3-folds with $1 \leq N(g)_{\text{global}} \leq 3$. One example is the 3-torus $(S^1)^3$. A more interesting example is $S^1 \times S^2$ realized as

$$S^1 \times S^2 \simeq \{\mathbb{R}^3 \setminus (0, 0, 0)\} / \vec{x} \sim q \vec{x}, \quad q \neq 1, \quad (\text{C.11})$$

with the metric

$$ds^2 = \frac{d\vec{x} \cdot d\vec{x}}{\vec{x} \cdot \vec{x}}. \quad (\text{C.12})$$

The conformal pull-back formula gives (locally)

$$\epsilon(\vec{x}) = (\vec{x} \cdot \vec{x})^{-1/4} (\vec{x} \cdot \vec{\gamma} \epsilon_1 + \epsilon_2), \quad \epsilon_1, \epsilon_2 \text{ constant spinors}. \quad (\text{C.13})$$

A spinor $\epsilon(\vec{x})$ is globally defined in the geometry (C.11) iff $\epsilon(q \vec{x}) = q^{1/2} \epsilon(\vec{x})$. Thus only the spinors in eqn. (C.13) having $\epsilon_2 = 0$ survive. We find 2 linearly independent conformal Killing spinors.

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